HRG GROUP, INC. Form 4

July 02, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL OMB** 

3235-0287 Number:

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if no longer subject to Section 16. Form 4 or

Check this box

**SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* HARBINGER CAPITAL PARTNERS MASTER FUND I,

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

LTD.

HRG GROUP, INC. [HRG]

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ 10% Owner Director Officer (give title \_\_X\_\_ Other (specify

C/O INTERNATIONAL FUND SVS 06/30/2015

(IRELAND) LT, 78 SIR JOHN

below) below) \*See Remarks

ROGERSON'S QUAY

(Street) 4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

S

Applicable Line) Form filed by One Reporting Person X Form filed by More than One Reporting

D (3) (4) (5)

Person

13.03 24,348,221

(2)(6)

DUBLIN 2, L2 00000

Common Stock (par

\$0.01 per share)

07/01/2015

value

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative S	ecurit	ies Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securitie omr Dispose (Instr. 3, 4	d of (Ľ	))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock (par value \$0.01 per share)	06/30/2015		S	6,017	D	\$ 13.02 (1) (2)	24,492,282	D (3) (4) (5)	

144,061 D

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Common Stock (par value \$0.01 per share)	06/30/2015	S	2,825	D	\$ 13.02 (2) (7)	709,766	D (8) (9)
Common Stock (par value \$0.01 per share)	07/01/2015	S	67,649	D	\$ 13.03 (2) (11)	642,117	D (8) (9)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	ionNumber	Expiration Da	ate	Amour	nt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ties	(Instr. 5)
	Derivative				Securities	3		(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									A	
									Amount	
								Title Numb		
				G 1 T	(4) (5)				of	
				Code V	$^{\prime}$ (A) (D)				Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
HARBINGER CAPITAL PARTNERS MASTER FUND I, LTD. C/O INTERNATIONAL FUND SVS (IRELAND) LT 78 SIR JOHN ROGERSON'S QUAY DUBLIN 2, L2 00000		X		*See Remarks	
HARBINGER CAPITAL PARTNERS LLC 450 PARK AVENUE, 30TH FLOOR NEW YORK, NY 10022		X		*See Remarks	
		$\mathbf{v}$			

Reporting Owners 2

HARBINGER CAPITAL PARTNERS FUND, L.P. 450 PARK AVENUE, 30TH FLOOR NEW YORK, NY 10022			*See Remarks
HARBINGER CAPITAL PARTNERS LLC 450 PARK AVENUE, 30TH FLOOR NEW YORK, NY 10022	S SPECIAL SITUATIONS GP,	X	*See Remarks
HARBINGER HOLDINGS, LLC 450 PARK AVENUE, 30TH FLOOR NEW YORK, NY 10022		X	*See Remarks
FALCONE PHILIP 450 PARK AVENUE, 30TH FLOOR NEW YORK, NY 10022		X	*See Remarks
Signatures			
Harbinger Capital Partners Master Fur Harbinger Holdings, LLC, Manager B	nd I, Ltd. By: Harbinger Capital Partners LLC, y: /s/ Philip Falcone	Ву:	07/02/2015
<u>**</u> Si	ignature of Reporting Person		Date
Harbinger Capital Partners LLC By: Falcone	Harbinger Holdings, LLC, Manager By: /s/ Phil	ip	07/02/2015
<u>**</u> Si	ignature of Reporting Person		Date
	tuations Fund, L.P. By: Harbinger Capital Partninger Holdings, LLC, Managing Member By: /s/		07/02/2015
<u>**</u> Si	ignature of Reporting Person		Date
Harbinger Capital Partners Special Sit Managing Member By: /s/ Philip Falco	tuations GP, LLC By: Harbinger Holdings, LLC one	Σ,	07/02/2015
<u>**</u> Si	ignature of Reporting Person		Date
Harbinger Holdings, LLC By: /s/ Phil	lip Falcone		07/02/2015
<u>**</u> Si	ignature of Reporting Person		Date
/s/ Philip Falcone			07/02/2015
<u>**</u> Si	ignature of Reporting Person		Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sale price represents the weighted average sale price for multiple transactions reporting on this line. The prices of the transactions ranged from \$13.02 to \$13.04, inclusive.
- The Reporting Persons undertake to provide upon request by the staff of the Securities and Exchange Commission, HRG Group, Inc.

  ("HRG") or a security holder of HRG full information regarding the number of Shares sold at each separate price within the range set forth on this line.

**(3)** 

Signatures 3

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These Shares are owned directly by Harbinger Capital Partners Master Fund I, Ltd. (the "Master Fund"), which is a Reporting Person.

- (4) These Shares may be deemed to be indirectly beneficially owned by the following, each of whom is a Reporting Person: Harbinger Capital Partners LLC ("Harbinger LLC"), the investment manager of the Master Fund; Harbinger Holdings, LLC ("Harbinger Holdings"), the manager of Harbinger LLC; and Philip Falcone, the managing member of Harbinger Holdings and the portfolio manager of the Master Fund.
- Each person listed in Footnote 4 disclaims beneficial ownership of the Shares except to the extent of his or its pecuniary interest therein, and this report shall not be deemed an admission that such person is the beneficial owner of the Shares for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.
- The sale price represents the weighted average sale price for multiple transactions reporting on this line. The prices of the transactions ranged from \$13.02 to \$13.08, inclusive.
- The sale price represents the weighted average sale price for multiple transactions reporting on this line. The prices of the transactions ranged from \$13.02 to \$13.04, inclusive.
- (8) These Shares are owned directly by Harbinger Capital Partners Special Situations Fund, L.P. (the "Special Situations Fund"), which is a Reporting Person.
- (9) These Shares may be deemed to be indirectly beneficially owned by the following, each of whom is a Reporting Person: Harbinger Capital Partners Special Situations GP, LLC ("HCPSS"), the general partner of the Special Situations Fund; Harbinger Holdings, the managing member of HCPSS; and Philip Falcone, the managing member of Harbinger Holdings and the portfolio manager of the Special Situations Fund.
- Each Reporting Person listed in Footnote 9 disclaims beneficial ownership of the Shares except to the extent of his or its pecuniary interest therein, and this report shall not be deemed an admission that such Reporting Person is the beneficial owner of the Shares for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.
- (11) The sale price represents the weighted average sale price for multiple transactions reporting on this line. The prices of the transactions ranged from \$13.02 to \$13.08, inclusive.

#### **Remarks:**

(+) The persons listed herein may be deemed to be members of a "group" for purposes of the Securities Exchange Act of 1934 Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.