

HARBINGER GROUP INC.  
Form 4  
December 02, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Maura David M

(Last) (First) (Middle)

C/O HARBINGER GROUP INC., 450 PARK AVENUE, 30TH FLOOR

(Street)

NEW YORK, NY 10022

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
HARBINGER GROUP INC. [HRG]

3. Date of Earliest Transaction (Month/Day/Year)  
11/27/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code               | V   | Amount  | (A) or (D)   | Price                             |
| Common Stock <sup>(1)</sup>     | 11/27/2013                           |  | M                  |   | 10,538  | A  | \$ 4.86                           |
| Common Stock <sup>(1)</sup>     | 11/27/2013                           |  | S                  |   | 2,885   | D  | \$ 12                             |
| Common Stock <sup>(1)</sup>     | 11/27/2013                           |  | S                  |   | 7,653   | D  | \$ 12                             |
| Common Stock <sup>(1)</sup>     | 11/29/2013                           |  | M                  |   | 51,208  | A  | \$ 4.86                           |
| Common Stock <sup>(1)</sup>     | 11/29/2013                           |  | S                  |   | 51,208  | D  | \$ 12 <sup>(2)</sup>              |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable Expiration Date                         | Title Amount or Number of Shares                              |
| Employee Stock Option <sup>(1)</sup>       | \$ 4.86  | 11/27/2013                           |  | M                              | 10,538  | 10/01/2012 02/14/2022                                    | Common Stock 10,538   |
| Employee Stock Option <sup>(1)</sup>       | \$ 4.86  | 11/29/2013                           |  | M                              | 51,208  | 10/01/2012 02/14/2022                                    | Common Stock 51,208   |

## Reporting Owners

**Reporting Owner Name / Address**

**Relationships**

Director 10% Owner Officer Other

Maura David M  
C/O HARBINGER GROUP INC.  
450 PARK AVENUE, 30TH FLOOR  
NEW YORK, NY 10022

X

## Signatures

/s/ David M.  
Maura 12/02/2013

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The transactions reported in this report were effected pursuant to a Rule 10b5-1 trading plan.

(2) The price shown in this Column is the weighted average purchase price of these Shares on the transaction date. The price range for the purchases is \$12.00 to \$12.12 per Share. The Reporting Person undertakes to provide upon request by the staff of the Securities and

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Exchange Commission, the Issuer or a security holder of the Issuer full information regarding the number of Shares purchased at each separate price.

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