Herndon Daniel R Form 4 October 30, 2018

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

**OMB APPROVAL** 

Check this box if no longer subject to Section 16. Form 4 or

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005 Estimated average

Form 5 obligations burden hours per response... 0.5

Chairman of the Board\*\*\*

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Herndon Daniel R Issuer Symbol

> Home Federal Bancorp, Inc. of (Check all applicable)

Louisiana [HFBL]

10/26/2018

(Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_\_ Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify (Month/Day/Year) below)

C/O HOME FEDERAL BANK, 222 FLORIDA STREET

(Street)

4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

> Applicable Line) Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

SHREVEPORT, LA 71105

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	10/26/2018		Code V F(1)	Amount 357	or (D) D	Price \$ 31.41	(Instr. 3 and 4) 83,736 (2)	D		
Common Stock							4,555	I	By Spouse	
Common Stock							37,393.9451 (3)	I	By 401(k) Plan	
Common Stock							10,681.6306 (4)	I	By ESOP	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

### Edgar Filing: Herndon Daniel R - Form 4

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. DenNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year e			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (Right to Buy)	\$ 14.7					01/31/2017(5)	01/31/2022	Common Stock	27,707	
Employee Stock Option (Right to Buy)	\$ 23					<u>(6)</u>	10/26/2025	Common Stock	8,000	

# **Reporting Owners**

\*\*Signature of Reporting Person

Reporting Owner Name / Address	Keiauonsnips						
Transfer of the second	Director 10% Owner		Officer	Other			
Herndon Daniel R C/O HOME FEDERAL BANK 222 FLORIDA STREET SHREVEPORT, LA 71105	X		Chairman of the Board***				
Signatures							
/s/Glen W. Brown by P.O.A. for Herndon	Daniel R		10/30/2018				

Reporting Owners 2

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Disposition solely to meet tax withholding obligation for distribution from Stock Incentive Plan.
  - Includes 2,000 shares granted pursuant to the 2014 Stock Incentive Plan which reflect the unvested portion of a grant award originally
- (2) covering 5,000 shares that commenced vesting at a rate of 20% per year on October 26, 2016 and 65,490 shares held jointly with the reporting person's spouse.
- (3) Reflects units which represent share and cash interests in the Issuer's stock fund in the 401(k) Plan. Based on a report dated September 25, 2018
- (4) Includes shares allocated to the reporting person's account in the ESOP since the last filed Form 4.
- (5) The options vested at a rate of 20% per year commencing on January 31, 2013.
- (6) The options are vesting at a rate of 20% per year commencing on October 26, 2016.

#### **Remarks:**

\*\*\*Also serves as Special Projects Manager

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.