

Edgar Filing: BORGWARNER INC - Form 4

BORGWARNER INC  
Form 4  
January 07, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

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FORM 4  
STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP  
/ / Check box if no longer subject of Section 16. Form 4 or Form 5 obligations  
may continue. See instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Act of 1934, Section 17(a) of  
the Public Utility Holding Company Act of 1935 or Section 30(f) of the  
Investment Company Act of 1940

1. Name and Address of Reporting Person  
LAURENE H. HORISZNY  
200 S. Michigan Ave.  
Chicago, IL 60604
2. Issuer Name and Ticker or Trading Symbol  
BorgWarner Inc. (BWA)
3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)  
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4. Statement For Month/Year  
DECEMBER, 2001
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person to Issuer (Check all applicable)  
( ) Director (x) Officer (give title below) ( ) 10% Owner ( ) Other  
Vice President, General Counsel & Secretary
7. Individual or Joint/Group Filing (Check applicable line)  
/x/ Form Filed by One Reporting Person  
--- Form Filed by More Than One Reporting Person

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Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security	2. Transaction Date (Month/Day/Year)	3. Transaction Code		4. Securities Acquired (A) or Disposed of (D)		
		Code	V	Amount	(A) or (D)	Price
Common Stock	12/14/01	M		1000	A	\$16.56
Common Stock	12/14/01	S		1000	D	\$49.26

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5. Amount of Securities Beneficially Owned at End of Month | 6. Ownership Form | 7. Nature of Indirect Beneficial Ownership  
Direct (D) or Indirect (I) | Beneficial Ownership

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9524 | D |

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g. Puts, Calls, Warrants, Options, Convertible Securities)

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1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative | 3. Transaction Date (month \day\year) | 4. Transaction Code | 5. Number of Derivative Securities Acquired (A) or

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	Security		Code	V	Disposed of (A)	(D)
Employee Stock Option (Right to Buy)	\$16.56	12/14/01	M			330
Employee Stock Option (Right to Buy)	\$16.56	12/14/01	M			330
Employee Stock Option (Right to Buy)	\$16.56	12/14/01	M			340

  

6. Date Exercisable and Expiration Date (month/day/year)	7. Title and Amount of Underlying Securities	8. Price of Derivative Security	9. Number of Derivative Securities Beneficially Owned At End Of Month	10. Ownership Form of Derivative Security (Direct (D) or Indirect (I))
12/31/92	1/1/02   Common Stock	330		D
12/31/93	1/1/02   Common Stock	330		D
12/31/94	1/1/02   Common Stock	340		D

11. Nature of Indirect Beneficial Ownership

Signature of Reporting Person  
 /s/ Laurene H. Horiszny  
 Date: January 7, 2002