

Edgar Filing: FIEDLER JOHN F - Form 4

FIEDLER JOHN F
 Form 4
 October 10, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 WASHINGTON, D.C. 20549

FORM 4
 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP
 / / Check box if no longer subject of Section 16. Form 4 or Form 5 obligations may continue. See instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person
 JOHN F. FIEDLER
 200 S. Michigan Ave.
 Chicago, IL 60604
2. Issuer Name and Ticker or Trading Symbol
 BorgWarner Inc. (BWA)
3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)
 -
4. Statement For Month/Year
 SEPTEMBER, 2001
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person to Issuer (Check all applicable)
 Director Officer (give title below) 10% Owner Other
 Chairman of the Board and Chief Executive Officer
7. Individual or Joint/Group Filing (Check applicable line)
 Form Filed by One Reporting Person
 Form Filed by More Than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date (Month/Day/Year) | 3. Transaction Code | | 4. Securities Acquired (A) or Disposed of (D) | | |
|----------------------|---|---------------------|---|--|------------|---------|
| | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | 9/24/01(1) | J(2) | | 1,000 | A | \$37.80 |
| Common Stock | 8/30/01(1) | G | V | 5 | D | |

| 5. Amount of Securities Beneficially Owned at End of Month | 6. Ownership Form Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership |
|--|---|--|
| - | | |
| 12,954 | D | |
| 68,217.18 | I | By The Five Dancing Bears, L.P. |
| 8,187 | I | By Spouse |

(1) These transactions are also reported by the reporting person's spouse on a Form 4 dated October 10, 2001.
 (2) Open market purchase exempted from Section 16(b) by Emergency Order pursuant

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to Section 12(k)(2) of the Securities Exchange Act of 1934 taking Temporary Action to Respond to Market Developments dated September 14, 2001 and extended pursuant to Release dated September 21, 2001.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g. Puts, Calls, Warrants, Options, Convertible Securities)

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (month \day\year) | 4. Transaction Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) |
|---------------------------------|--|---------------------------------------|---------------------|--|
| | | | | |

| 6. Date Exercisable and Expiration Date (month/day/year) | 7. Title and Amount of Underlying Securities | 8. Price of Derivative Security | 9. Number of Derivative Securities Beneficially Owned At End Of Month | 10. Ownership Form of Derivative Security (Direct (D) or Indirect (I)) |
|--|--|---------------------------------|---|--|
| | | | | |

11. Nature of Indirect Beneficial Ownership

Signature of Reporting Person
/s/Laurene H. Horiszny
as attorney-in-fact for JOHN F. FIEDLER
Date: October 10, 2001