Esperion Therapeutics, Inc. Form 4 July 02, 2013

# FORM 4

### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

January 31, Expires: STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

2005 Estimated average burden hours per response... 0.5

Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

30(h) of the Investment Company Act of 1940

1(b).

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person * DP VII ASSOCIATES LP			2. Issuer Name and Ticker or Trading Symbol Esperion Therapeutics, Inc. [ESPR]				5. Relationship of Reporting Person(s) to Issuer					
a			•	•					(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				Dimenter	V 100	· O			
C/O DOMAIN ASSOCIATES, LLC, ONE PALMER SQUARE			(Month/Day/Year) 07/01/2013				DirectorX10% Owner Officer (give title Other (specify below) below)					
	(Street) 4. If A <sub>1</sub>				Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
				Filed(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
PRINCETON, NJ 08542							Person					
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ties Acq	quired, Disposed	of, or Beneficial	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Yea	r) Execution	emed on Date, if /Day/Year)	3. Transactic Code (Instr. 8)	4. Securit on(A) or Dis (D) (Instr. 3, 4)	sposed	of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	07/01/2013			С	30,462	A	<u>(1)</u>	30,462	D			
Common Stock	07/01/2013			P	4,791	A	\$ 14	35,253	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shar
Series A Preferred Stock	<u>(1)</u>	07/01/2013		C		30,462	<u>(1)</u>	<u>(1)</u>	Common Stock	30,46
Warrant to Purchase Series A Preferred Stock	\$ 1	07/01/2013		J <u>(2)</u>		8,346	(2)	<u>(2)</u>	Series A Preferred Stock	8,346
Warrant to Purchase Common Stock	\$ 6.99	07/01/2013		J <u>(2)</u>	1,193		(3)	02/12/2018	Common Stock	1,193

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>--</b>	Director	10% Owner	Officer	Other		
DP VII ASSOCIATES LP						
C/O DOMAIN ASSOCIATES, LLC		X				
ONE PALMER SQUARE		Λ				
PRINCETON, NJ 08542						

### **Signatures**

/s/Kathleen K. Schoemaker, Managing Member of One Palmer Square Associates VII, LLC, General Partner of DP VII Associates, L.P.

07/02/2013

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All outstanding shares of Series A Preferred Stock were automatically converted into Common Stock upon the closing of the Issuer's initial public offering (the "IPO"), for no additional consideration.

**(2)** 

Reporting Owners 2

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Upon the closing of the IPO the Warrants to purchase Series A Preferred Stock automatically converted on a 6.986-for-1 basis into Warrants to purchase Common Stock, and the exercise price automatically adjusted to \$6.99 per share, pursuant to the terms of such Warrants. This transaction is reported on Form 4 solely for the purpose of reflecting such changes.

#### (3) The Warrant is immediately exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.