Vifor (International) Ltd Form 3 November 02, 2018

## FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement ChemoCentryx, Inc. [CCXI] Vifor (International) Ltd (Month/Day/Year) 10/23/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) **RECHENSTRASSE 37** (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_\_ 10% Owner Director Form filed by One Reporting Officer Other (give title below) (specify below) CH-9014 ST. GALLEN, V8Â \_X\_ Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Ι See Notes (1) (2) (3) Common Stock, \$0.001 par value per share 10,676,825 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	f Derivative Security  2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			(Instr. 4)		Price of	Derivative	
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Shares

(I) (Instr. 5)

Date

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director 10% Owner Offi		Officer	Other	
Vifor (International) Ltd RECHENSTRASSE 37 CH-9014 ST. GALLEN, V8Â	Â	ÂX	Â	Â	
Vifor Pharma Ltd. RECHENSTRASSE 37 CH-9014 ST. GALLEN, V8Â	Â	ÂΧ	Â	Â	
Vifor Fresenius Medical Care Renal Pharma Ltd. RECHENSTRASSE 37 CH-9014 ST. GALLEN Â V8Â	Â	ÂX	Â	Â	

## **Signatures**

VIFOR (INTERNATIONAL) LTD., /s/ Oliver Kronenberg, Title: Group General Counsel				
**Signature of Reporting Person	Date			
VIFOR PHARMA LTD, /s/ Oliver Kronenberg, Title: Group General Counsel				
**Signature of Reporting Person	Date			
VIFOR FRESENIUS MEDICAL CARE RENAL PHARMA LTD., /s/ Oliver Kronenberg Title: Group General Counsel	11/02/2018			

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

\*\*Signature of Reporting Person

- In addition to Vifor (International) Ltd., an entity formed under the laws of Switzerland ("Vifor"), and Vifor Fresenius Medical Care Renal Pharma Ltd., an entity formed under the laws of Switzerland ("VFMCRP"), this Form 3 is being filed by Vifor Pharma Ltd., an
- (1) entity formed under the laws of Switzerland ("Vifor Pharma" and together with Vifor and VFMCRP, the "Reporting Persons"). The business addresses for each of the Reporting Persons are listed above. Each of the Reporting Persons may be deemed to have a pecuniary interest in securities reported by it on this Form 3 (the "Subject Securities").
- Beneficial ownership of the common stock referred to herein is being reported solely because (i) Vifor Pharma may be deemed to beneficially own 7,343,492 shares of Common Stock of ChemoCentryx, Inc. as a result of Vifor Pharma's indirect ownership of 100% of the equity interests of Vifor, and (ii) Vifor Pharma may be deemed to beneficially own 3,333,333 shares of Common Stock of ChemoCentryx, Inc. as a result of Vifor Pharma's indirect ownership of 55% of the equity interests of VFMCRP.
- (3) Each of the Reporting Persons disclaims any beneficial ownership of any of the Subject Securities, except to the extent of any pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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