

von Prondzynski Heino  
Form 4  
September 05, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
von Prondzynski Heino

(Last) (First) (Middle)

C/O QUOTIENT LIMITED, 28  
ESPLANADE

(Street)

ST HELIER, Y9 JE2 3QA

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
Quotient Ltd [QTNT]

3. Date of Earliest Transaction  
(Month/Day/Year)  
09/04/2018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of<br>(D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
|                                       |                                         |                                                             | Code                                    | V                                                                          | Amount                                                                                                             | (A)<br>or<br>(D)                                                     | Price                                                             |
| Ordinary<br>Shares                    | 09/04/2018                              |                                                             | M                                       |                                                                            | 32,747                                                                                                             | A                                                                    | 125,313                                                           |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|--------------------|---------------------------------------------------------------------|-------------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code                                    | V (A) (D)                                                                                                    | Date<br>Exercisable                                            | Expiration<br>Date | Title                                                               | Amount<br>or<br>Number<br>of Shares |
| Restricted<br>Stock<br>Units                        | <u>(1)</u>                                                            | 09/04/2018                              |                                                             | M                                       | 12,500                                                                                                       | <u>(2)</u>                                                     | <u>(2)</u>         | Ordinary<br>Shares                                                  | 12,500                              |
| Ordinary<br>Share<br>Option<br>(Right to<br>Buy)    | \$ 11.62                                                              |                                         |                                                             |                                         |                                                                                                              | <u>(3)</u>                                                     | 10/31/2025         | Ordinary<br>Shares                                                  | 4,303                               |
| Restricted<br>Stock<br>Units                        | <u>(1)</u>                                                            | 09/04/2018                              |                                                             | M                                       | 7,613                                                                                                        | <u>(4)</u>                                                     | <u>(4)</u>         | Ordinary<br>Shares                                                  | 7,613                               |
| Ordinary<br>Share<br>Option<br>(Right to<br>Buy)    | \$ 5.73                                                               |                                         |                                                             |                                         |                                                                                                              | <u>(5)</u>                                                     | 10/31/2026         | Ordinary<br>Shares                                                  | 8,726                               |
| Restricted<br>Stock<br>Units                        | <u>(1)</u>                                                            |                                         |                                                             |                                         |                                                                                                              | <u>(6)</u>                                                     | <u>(6)</u>         | Ordinary<br>Shares                                                  | 2,618                               |
| Restricted<br>Stock<br>Units                        | <u>(1)</u>                                                            | 09/04/2018                              |                                                             | M                                       | 12,634                                                                                                       | <u>(7)</u>                                                     | <u>(7)</u>         | Ordinary<br>Shares                                                  | 12,634                              |
| Ordinary<br>Share<br>Option<br>(Right to<br>Buy)    | \$ 5.21                                                               |                                         |                                                             |                                         |                                                                                                              | <u>(8)</u>                                                     | 10/31/2027         | Ordinary<br>Shares                                                  | 9,597                               |
| Restricted<br>Stock<br>Units                        | <u>(1)</u>                                                            |                                         |                                                             |                                         |                                                                                                              | <u>(9)</u>                                                     | <u>(9)</u>         | Ordinary<br>Shares                                                  | 5,758                               |
| Restricted<br>Stock<br>Units                        | <u>(1)</u>                                                            |                                         |                                                             |                                         |                                                                                                              | <u>(10)</u>                                                    | <u>(10)</u>        | Ordinary<br>Shares                                                  | 8,288                               |

## Reporting Owners

| Reporting Owner Name / Address                                                         | Relationships |           |         |       |
|----------------------------------------------------------------------------------------|---------------|-----------|---------|-------|
|                                                                                        | Director      | 10% Owner | Officer | Other |
| von Prondzynski Heino<br>C/O QUOTIENT LIMITED<br>28 ESPLANADE<br>ST HELIER, Y9 JE2 3QA | X             |           |         |       |

## Signatures

/s/ Heino von  
Prondzynski

09/05/2018

Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units convert into ordinary shares on a one-for-one basis.
  - (2) On September 15, 2014, the reporting person was granted 50,000 restricted stock units, all of which have vested and have been exercised.
  - (3) 2,868 options have vested and remain exercisable, 1,435 options will vest on October 31, 2018.
  - (4) On September 4, 2016, the reporting person was granted 15,226 restricted stock units, all of which have vested and have been exercised.
  - (5) 2,909 options vested on October 31, 2017 and remain exercisable, 2,909 options will vest on October 31, 2018, 2,908 options will vest on October 31, 2019.
  - (6) On October 31, 2016, the Reporting Person was granted 5,236 restricted stock units, of which 2,618 have vested and have been exercised. The remaining 2,618 restricted stock units will vest on October 31, 2018.
  - (7) On September 4, 2017, the reporting person was granted 25,268 restricted stock units, of which 12,634 restricted stock units have vested and have been exercised. The remaining 12,634 restricted stock units will vest on September 4, 2019.
  - (8) The options vest and become exercisable in three equal annual installments beginning October 31, 2018.
  - (9) On October 31, 2017, the Reporting Person was granted 5,758 restricted stock units, vesting in two equal installments on October 31, 2018 and October 31, 2019.
  - (10) On April 4, 2018, the Reporting Person was granted 33,150 restricted stock units, of which 8,288 restricted stock units have vested and have converted to ordinary shares. The remaining 24,862 restricted stock units will vest quarterly in three equal installments on October 4, 2018, January 4, 2019 and April 4, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.