#### KONINKLIJKE PHILIPS NV

Form 4

October 13, 2017

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* KONINKLIJKE PHILIPS NV

2. Issuer Name and Ticker or Trading

Symbol

Corindus Vascular Robotics, Inc.

(Check all applicable)

5. Relationship of Reporting Person(s) to

[CVRS]

(First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

10/11/2017

Director Officer (give title below)

X\_\_ 10% Owner \_ Other (specify

PHILIPS CENTER. AMSTELPLEIN 2, 1096 BC

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Issuer

AMSTERDAM, P7

| (City)   | (State)                              | (Zip) Tab   | ole I - Non-                            | Derivative Sec   | curitie  | s Acquire     | ed, Disposed of,   | or Beneficiall   | y Owned   |
|--|--------------------------------------|---|---|--|--|---------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3)                   | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Approximately Disposed of (Instr. 3, 4 and | ed of (D) 4 and 5) Securities Beneficially Owned Following Reported Transaction( |               | Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common<br>Stock, par<br>value<br>\$0.0001<br>per share | 10/11/2017                           |   | Code V X(1)                             | Amount 4,728,191   | or<br>(D)  | Price \$ 1.06 | (Instr. 3 and 4)<br>29,693,444                                   | D  |   |
| Common<br>Stock, par<br>value<br>\$0.0001<br>per share | 10/11/2017                           |   | S <u>(1)</u>                            | 3,334,586  | D  | \$<br>1.503   | 26,358,858   | D  |   |

of

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Expiration Da<br>(Month/Day/Y | 6. Date Exercisable and Expiration Date (Month/Day/Year) |  | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |
|---|---|---|---|---|---|-------------------------------|--|--|---|--|
|   |   |   |   | Code V                                  | (A) (D)   | Date<br>Exercisable           | Expiration<br>Date                                       | Title  | Amour<br>Numbe<br>Shares                                      |  |
| Warrant   | \$ 1.06   | 10/11/2017                              |   | X(1)                                    | 4,728,191   | 08/12/2014                    | 10/11/2017   | Common<br>Stock, par<br>value<br>\$0.0001<br>per share | 4,728   |  |

## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |
|---|---------------|-----------|---------|-------|--|
| F   | Director      | 10% Owner | Officer | Other |  |
| KONINKLIJKE PHILIPS NV<br>PHILIPS CENTER, AMSTELPLEIN 2, 1096 BC<br>AMSTERDAM, P7 |               | X         |         |       |  |
|   |               |           |         |       |  |

### **Signatures**

| /s/ Abhijit Bhattacharya, Chief Financial<br>Officer | 10/11/2017 |  |  |
|--|------------|--|--|
| **Signature of Reporting Person                      | Date       |  |  |
| /s/ Marnix van Ginneken, Chief Legal<br>Officer      | 10/11/2017 |  |  |
| **Signature of Reporting Person                      | Date       |  |  |

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On October 11, 2017, the reporting person exercised a warrant to purchase 4,728,191 shares of common stock of Corindus Vascular Robotics, Inc. (the "Company"), par value \$0.0001 per share, for \$1.06 a share. The reporting person paid the exercise price on a cashless basis, resulting in the Company's withholding of 3,334,586 of the warrant shares to pay the exercise price and issuing to the reporting person the remaining 1,393,605 shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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