Edgar Filing: AMERICAN NATIONAL INSURANCE CO /TX/ - Form 4

AMERICAN NATIONAL INSURANCE CO /TX/

Form 4 March 03, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB

OMB APPROVAL

Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Moody-Dahlberg Frances A

(Street)

(State)

(Zip)

2. Issuer Name and Ticker or Trading

Symbol

5. Relationship of Reporting Person(s) to

Issuer

AMERICAN NATIONAL INSURANCE CO /TX/ [ANAT]

(Check all applicable)

(Last)

(City)

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

_X__ Director Officer (give title below)

X__ 10% Owner _ Other (specify

ONE MOODY PLAZA

03/01/2017

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

GALVESTON, TX 77550

(City)	(State)	Tab	le I - Non-I	Derivative	Secu	rities Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Security 4. Security 4. Security 6. Sec	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock (1)	03/01/2017		M	500	A	\$ 0	5,687	D	
Common Stock (1)	03/01/2017		D	500	D	\$ 123.84	5,187	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: AMERICAN NATIONAL INSURANCE CO /TX/ - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	nsactionof le Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shares
Restricted Stock Units	<u>(2)</u>	03/01/2017		M		250	03/01/2015(3)	03/01/2017(3)	Common Stock	250
Restricted Stock Units	<u>(2)</u>	03/01/2017		M		250	03/01/2016(4)	03/01/2018(4)	Common Stock	250

Reporting Owners

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
Moody-Dahlberg Frances A		••					
ONE MOODY PLAZA	X	X					
GALVESTON, TX 77550							

Signatures

Frances A. Moody-Dahlberg, by J. Mark Flippin as Attorney-in-Fact

03/02/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This transaction represents the settlement of vested restricted stock units in cash based on the closing price of Issuer's common stock on
- (1) March 1, 2017. For reporting purposes, such settlement is treated as a simultaneous acquisition and disposition of the underlying common stock.
- Each restricted stock unit represents a contingent right to receive, upon vesting, one share of Issuer's common stock or, at the election of the reporting person, cash in an amount equal to the closing price of such stock on the date of vesting.
- (3) These restricted stock units vest in three equal annual installments, beginning March 1, 2015, or upon the reporting person's earlier retirement, death or disability.
- (4) These restricted stock units vest in three equal annual installments, beginning March 1, 2016, or upon the reporting person's earlier retirement, death or disability.

Reporting Owners 2

Edgar Filing: AMERICAN NATIONAL INSURANCE CO /TX/ - Form 4

Remarks:

Reporting Person is also one of three trustees of The Moody Foundation, which as of the date of this filing owns 6,118,066 sha

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.