Hannon Armstrong Sustainable Infrastructure Capital, Inc.

Form 4

November 14, 2016

								OMB AI	PPROVAL
FORIN	FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						OMB Number:	3235-0287	
Check th			6	,				Expires:	January 31,
Section 16. Form 4 or		IENT OF CHAN	F CHANGES IN BENEFICIAL OWN SECURITIES				NERSHIP OF	Expires. 2005 Estimated average burden hours per response 0.5	
Form 5 obligation may con <i>See</i> Instraction 1(b).	ons tinue. Section 17(a	suant to Section (a) of the Public U 30(h) of the In	Itility Hol	ding Con	npany	Act of	1935 or Section	·	
(Print or Type	Responses)								
Herron J Brendan Symbol			er Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer		
			Hannon Armstrong Sustainable Infrastructure Capital, Inc. [HASI]				(Check all applicable)		
(Last)		3. Date of Earliest Transaction (Month/Day/Year)				Director 10% Owner Softicer (give title Other (specify below)			
1006 TOW/NE CENTUE DI VII 11/10/2017							VP & CFO		
ANNAPOL	endment, Date Original onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)				Securities Beneficially Owned Following Reported	Ownership Form: Direct	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common stock, par value \$0.01 per share	11/10/2016		S(1)	13,812	D	\$ 19.51	175,863 <u>(2)</u>	D	
Common stock, par value \$0.01 per share	11/10/2016		G(3)	4,000	D	\$ 0	171,863 <u>(2)</u>	D	
							5,000 (4)	I	By spouse

Edgar Filing: Hannon Armstrong Sustainable Infrastructure Capital, Inc. - Form 4

Common stock, par value

\$0.01 per

share

Common stock, par

value \$0.01 per share

By spouse as UTMA

1,920 (5) I custodian for

children

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

4.

1. Title of 2. Derivative Conversion Security or Exercise (Instr. 3) Price of Derivative

Security

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if

TransactionNumber Code of (Month/Day/Year) (Instr. 8) Derivative Securities

5.

Acquired

Disposed

(Instr. 3, 4, and 5)

(A) or

of (D)

Code V (A) (D)

Exercisable

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of Underlying Securities (Instr. 3 and 4) 8. Price of Derivative Security (Instr. 5)

Bene Own Follo Repo Trans (Insti

9. Nu

Deriv

Secu

Expiration Date

Date

Amount or Title Number

of Shares

Reporting Owners

Reporting Owner Name / Address

Relationships

Officer

10% Owner Director

Other

Herron J Brendan

1906 TOWNE CENTRE BLVD. SUITE 370 ANNAPOLIS, MD 21401

EVP & CFO

Signatures

/s/ J. Brendan Herron

11/14/2016

**Signature of Reporting Person Date

Reporting Owners 2

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This sale was effected pursuant to a Rule 10b5-1 trading plan, dated May 18, 2016 (the "Plan").
- Excludes 135,938 limited partnership units in Hannon Armstrong Sustainable Infrastructure, L.P., the issuer's operating partnership
- (2) subsidiary, held by the reporting person, previously reported by the reporting person, which are redeemable for cash, or at the option of the issuer, for shares of Common Stock of the issuer on a one-for-one basis.
- (3) This charitable gift was effected pursuant to the Plan.
- (4) These shares are held by the reporting person's spouse. The reporting person disclaims beneficial ownership other than to the extent of his pecuniary interest.
- (5) These shares are held by the reporting person's spouse as custodian for the reporting person's three children under the Uniform Transfers to Minors Act. The reporting person disclaims beneficial ownership other than to the extent of his pecuniary interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.