

EMCORE CORP  
Form 4  
November 24, 2015

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Becker Steven R

(Last) (First) (Middle)

C/O NORTHERN RIGHT  
CAPITAL MANAGEMENT,, L.P.  
500 CRESCENT COURT, SUITE  
230

(Street)

DALLAS, TX 75201

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
EMCORE CORP [EMKR]

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/20/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	V	Amount or Price				
Common Stock	11/20/2015		S		28,319 (4)	D	\$ 7.331 635,769	I	See footnotes (1) (5)
Common Stock	11/23/2015		S		50,889 (4)	D	\$ 7.3317 584,880	I	See footnotes (1) (5)
Common Stock	11/24/2015		S		32,083 (4)	D	\$ 7.3023 552,797	I	See footnotes (1) (5)

Edgar Filing: EMCORE CORP - Form 4

Common Stock	11/20/2015	S	40,443 (4)	D	\$ 7.331	906,878	I	See footnotes (2) (5)
Common Stock	11/23/2015	S	72,675 (4)	D	\$ 7.3317	834,203	I	See footnotes (2) (5)
Common Stock	11/24/2015	S	45,819 (4)	D	\$ 7.3023	788,384	I	See footnotes (2) (5)
Common Stock	11/20/2015	S	23,974 (4)	D	\$ 7.331	537,849	I	See footnotes (3) (5)
Common Stock	11/23/2015	S	43,081 (4)	D	\$ 7.3317	494,768	I	See footnotes (3) (5)
Common Stock	11/24/2015	S	27,161 (4)	D	\$ 7.3023	467,607	I	See footnotes (3) (5)
Common Stock						7,576	D (6)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

