QUALYS, INC. Form 4 August 06, 2015

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>\*</u> DIXON DONALD R

2. Issuer Name **and** Ticker or Trading Symbol

QUALYS, INC. [QLYS]

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year) 08/05/2015

505 HAMILTON AVENUE, SUITE 200

(Street)

00/03/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

\_X\_ Director \_X\_ 10% Owner \_\_\_ Officer (give title \_\_\_ Other (specify below) below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person \_\_\_ Form filed by More than One Reporting

Person

PALO ALTO, CA 94301

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative Sec	curities	s Acqui	red, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactiomr Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of 6. Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	08/05/2015		<u>J(1)</u>	1,409,825	D	\$0	1,409,826	I	See footnote (2) (3)
Common Stock	08/05/2015		<u>J(1)</u>	107,108	D	\$0	107,106	I	See footnote (3) (4)
Common Stock	08/05/2015		<u>J(1)</u>	39,214	D	\$0	39,214	I	See footnote (3) (5)
Common Stock	08/05/2015		J <u>(1)</u>	8,193	D	\$0	8,191	I	See footnote

								(3) (6)
Common Stock	08/05/2015	<u>J(1)</u>	7,817	D	\$ 0	7,818	I	See footnote (3) (7)
Common Stock	08/05/2015	J <u>(8)</u>	49,211	A	\$ 0	144,178	D	
Common Stock	08/05/2015	J <u>(9)</u>	42,239	A	\$ 0	122,832	I	See footnote (10)
Common Stock	08/05/2015	J <u>(11)</u>	321	A	\$0	963	I	See footnote (12)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration Da	ate	Amou	nt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)
	Derivative				Securities			(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
						Date	Expiration		or	
						Exercisable	Date		Number	
									of	
				Code V	(A) (D)				Shares	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
DIXON DONALD R							
505 HAMILTON AVENUE, SUITE 200	X	X					
PALO ALTO CA 94301							

Reporting Owners 2

### **Signatures**

/s/ Donald R. 08/05/2015 Dixon

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents an in-kind distribution to the partners of the applicable partnership in accordance with the partners' relative economic (1) interests in such partnership and includes subsequent distributions by general partners or managing members to their respective partners or members.
- (2) The shares are held directly by Trident Capital Fund-V, L.P.
  - Trident Capital Management-V, L.L.C. ("TCMV") serves as the general partner of each of Trident Capital Fund-V, L.P., Trident Capital Fund-V Principals Fund, L.P., Trident Capital Fund-V Affiliates Fund, L.P., and Trident Capital Fund-V Affiliates Fund (Q), L.P., and as the sole investment general partner of Trident Capital Parallel Fund-V, C.V. As such, TCMV may be deemed to be the beneficial
- owner of the shares held directly by each of these Trident entities. Additionally, Donald R. Dixon, as a member of TCMV, may be deemed to share beneficial ownership in securities beneficially owned by TCMV. TCMV and Mr. Dixon each disclaim beneficial ownership of these securities except to the extent of their respective pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 or for any other purpose.
- (4) The shares are held directly by Trident Capital Parallel Fund-V, C.V.
- (5) The shares are held directly by Trident Capital Fund-V Principals Fund, L.P.
- (6) The shares are held directly by Trident Capital Fund-V Affiliates Fund, L.P.
- (7) The shares are held directly by Trident Capital Fund-V Affiliates Fund (Q), L.P.
- Represents the receipt of shares by virtue of the distributions described in footnotes (2), (4) and (5) above as follows: (i) 34,904 shares (8) from Trident Capital Fund-V, L.P., (ii) 2,646 shares from Trident Capital Fund-V, C.V., and (iii) 11,661 shares from Trident Capital Fund-V Principals Fund, L.P. The acquisition of such shares was exempt under Rule 16a-9 and Rule 16a-13.
- Represents the receipt of shares by virtue of the distributions described in footnotes (2) and (4) above as follows: 39,263 shares from (9) Trident Capital Fund-V, L.P., and (ii) 2,976 shares from Trident Capital Parallel Fund-V, C.V. The acquisition of such shares was exempt under Rule 16a-9 and Rule 16a-13.
- (10) The shares are held directly by Donald and Elizabeth Dixon Family Limited Partnership, for which the Reporting Person and his spouse serve as general partners.
- (11) Represents the receipt of shares by virtue of the distribution described in footnote (6) above. The acquisition of such shares was exempt under Rule 16a-9 and Rule 16a-13.
- (12) The shares are held directly by Dixon Family Trust U/A Dated 6/18/88, for which the Reporting Person and his spouse serve as settlors. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3