

Edgar Filing: HENNESSY ADVISORS INC - Form 8-K/A

HENNESSY ADVISORS INC
Form 8-K/A
January 18, 2005

FORM 8-K/A

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported) December 30, 2004

HENNESSY ADVISORS, INC.

(Exact name of registrant as specified in its charter)

| | | |
|--|-----------------------------|--------------------------------------|
| California ----- | 000-49872 ----- | 68-0176227 ----- |
| (State or other jurisdiction of incorporation) | (Commission File Number) | (IRS Employer Identification No.) |
| 750 Grant Avenue, Suite 100 Novato, California ----- | | 94945 ----- |
| (Address of principal executive offices) | | (Zip Code) |

Registrant's telephone number including area code: (415) 899-1555

Not Applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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Item 4.01 Changes In Registrant's Certifying Accountant

On January 6, 2005, Hennessy Advisors, Inc. filed a Form 8-K disclosing a change in auditors. This amendment is being filed for the purpose of filing as an exhibit the required letter of the former auditors, Pisenti & Brinker, LLP.

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Item 9.01 Financial Statements and Exhibits

(c) Exhibits

16 Letter of Piseni & Brinker, LLP, dated January 12, 2005 regarding
change in certifying accountant

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SIGNATURES

Pursuant to the requirements of the Securities and Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

HENNESSY ADVISORS, INC.
(registrant)

January 18, 2005

By: /s/ Teresa M. Nilsen

Teresa M. Nilsen
Chief Financial Officer and
Executive Vice President

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