### LOCKTON JOHN D

Form 4/A August 26, 2005

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

3235-0287 Number: January 31, Expires: 2005

**OMB APPROVAL** 

Section 16. Form 4 or Form 5

**SECURITIES** 

Estimated average burden hours per response... 0.5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* LOCKTON JOHN D

(First)

(Street)

(State)

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

**SUPERCONDUCTOR** TECHNOLOGIES INC [SCON]

(Check all applicable)

460 WARD DRIVE

3. Date of Earliest Transaction (Month/Day/Year)

4. If Amendment, Date Original

\_X\_\_ Director 10% Owner Officer (give title Other (specify below)

07/26/2005

(Middle)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year) 07/27/2005

X Form filed by One Reporting Person Form filed by More than One Reporting

(Instr. 4)

Person

**SANTA** 

BARBARA, CA 93111-2356

(City)

(Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) Ownership

(Instr. 4)

(A)

Reported Transaction(s)

Code V Amount (D) Price

(Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if Transaction of Derivative Expiration Date

5. Number

6. Date Exercisable and

7. Title and Am Underlying Seco

#### Edgar Filing: LOCKTON JOHN D - Form 4/A

| Security (Instr. 3)                             | or Exercise<br>Price of<br>Derivative<br>Security |            | any<br>(Month/Day/Year) | Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |        | of                  | (Month/Day/Year)   |                 | (Instr. 3 and 4)           |  |
|---|---|------------|-------------------------|---|--------|---------------------|--------------------|-----------------|----------------------------|--|
|   |   |            |                         | Code V  | (A) (I | D) Date Exercisable | Expiration<br>Date | Title           | Ai<br>or<br>Ni<br>of<br>Sh |  |
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 0.95   | 07/26/2005 |                         | A   | 2,000  | 07/26/2006(1)       | 07/26/2015         | Common<br>Stock | 2                          |  |

# **Reporting Owners**

|                                | Keiationsnips |              |         |       |  |
|--------------------------------|---------------|--------------|---------|-------|--|
| Reporting Owner Name / Address | Director      | 10%<br>Owner | Officer | Other |  |
| LOCKTON JOHN D                 |               |              |         |       |  |
| 460 WARD DRIVE                 | X             |              |         |       |  |
| SANTA BARBARA, CA 93111-2356   |               |              |         |       |  |

# **Signatures**

By: Martin S. McDermut For: John D.
Lockton

08/24/2005

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant vesting 50% on the first anniversary, with the remaining 50% vesting on the second anniversary.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2