

SUPERCONDUCTOR TECHNOLOGIES INC  
 Form 3  
 December 07, 2015

**FORM 3** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL  
 OMB Number: 3235-0104  
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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|  |           |  |   |   |
|--|-----------|--|---|---|
| 1. Name and Address of Reporting Person    |           | 2. Date of Event Requiring Statement<br>(Month/Day/Year) | 3. Issuer Name and Ticker or Trading Symbol<br>SUPERCONDUCTOR TECHNOLOGIES INC [SCON]   |   |
| *<br>Kopp LeRoy C                          |           | 12/02/2015   |   |   |
| (Last)                                     | (First)   | (Middle)   |   |   |
| 8400 NORMANDALE LAKE BOULEVARD, SUITE 1450 |           |  | 4. Relationship of Reporting Person(s) to Issuer<br><br>(Check all applicable)  |   |
| (Street)                                   |           |  | <input type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner<br><input type="checkbox"/> Officer <input type="checkbox"/> Other<br>(give title below)    (specify below) |   |
| BLOOMINGTON, MN 55437                      |           |  | 5. If Amendment, Date Original Filed(Month/Day/Year)  |   |
| (City)                                     | (State)   | (Zip)  |   |   |
| 1. Title of Security (Instr. 4)            |           | 2. Amount of Securities Beneficially Owned (Instr. 4)    | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)  | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
| Common Stock                               | 1,685,290 | D  | K   |   |
| Common Stock                               | 113,000   | I  | By spouse <sup>(1)</sup>  |   |

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

|  |  |   |                                    |                                 |   |
|--|--|---|------------------------------------|---------------------------------|---|
| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of | 5. Ownership Form of Derivative | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|------------------------------------|---------------------------------|---|

| Date<br>Exercisable | Expiration<br>Date | Title | Amount or<br>Number of<br>Shares | Derivative<br>Security | Security:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) |
|---------------------|--------------------|-------|----------------------------------|------------------------|---|
|---------------------|--------------------|-------|----------------------------------|------------------------|---|

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| Kopp LeRoy C<br>8400 NORMANDALE LAKE BOULEVARD<br>SUITE 1450<br>BLOOMINGTON, MN 55437 | ^             | ^ X       | ^       | ^     |

## Signatures

|  |            |
|--|------------|
| John P. Flakne (pursuant to Power of Attorney filed<br>herewith) | 12/07/2015 |
| **Signature of Reporting Person                                  | Date       |

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The filing of this report shall not be deemed an admission that Mr. Kopp is the beneficial owner of these shares for purposes of Section 16 of the Exchange Act of 1934.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.