

Edgar Filing: ARV ASSISTED LIVING INC - Form 4

ARV ASSISTED LIVING INC  
 Form 4  
 November 14, 2001

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 FORM 4  
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol	6. R
PASQUALE	DOUGLAS	M.	ARV ASSISTED LIVING, INC. (SRS)	t
(Last)	(First)	(Middle)	3. IRS Identification Number of Reporting Person, if an entity (Voluntary)	4. Statement for Month/Year
245 FISCHER AVENUE, SUITE D-1				OCTOBER, 2001
	(Street)			5. If Amendment, Date of Original (Month/Year)
COSTA MESA	CALIFORNIA	92626		
(City)	(State)	(Zip)		7. I

TABLE I -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL

1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/ Year)	3. Trans- action Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at End of Mo (Instr. 3)
		Code V	Amount (A) or Price (D)	
COMMON STOCK	10/24/01	P	2,000 A \$1.23	99,500
COMMON STOCK	10/25/00	P	200 A \$1.35	99,500
COMMON STOCK	10/29/01	P	2,800 A \$1.23	99,500
COMMON STOCK				10,500

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. \*If the form is filed by more than one reporting person, see Instruction 4(b)(v).

FORM 4 (CONTINUED) TABLE II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7.
					Date Exercisable	Expiration Date
EMPLOYEE STOCK OPTION (RIGHT TO BUY)				D(1)		
9. Number of Derivative Securities Beneficially Owned at End of Month (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)				
900,000	D					

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Explanation of Responses: (1) OPTION GRANTED ON JUNE 1, 1998 FOR 35,936 SHARES AT  
A STRIKE PRICE OF \$11.13 WAS SURRENDERED VOLUNTARILY  
TO THE COMPANY.

OPTION GRANTED ON JUNE 1, 1998 FOR 114,064 SHARES AT  
A STRIKE PRICE OF \$11.13 WAS SURRENDERED VOLUNTARILY  
TO THE COMPANY.

\*\*Intentional misstatements or omissions of facts constitute Federal  
Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/ DOUGLAS M.

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\*\*Signature of Reporter  
Douglas

Note: File three copies of this Form, one of which must be manually signed.  
If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are  
not required to respond unless the form displays a currently valid OMB Number.