Edgar Filing: Downing Maxwell J - Form 4

Downing Ma Form 4										
October 23, 2	Л									PPROVAL
		ITIES A hington,	OMB Number:	3235-0287						
Check this if no long subject to Section 10 Form 4 or Form 5		SECUR	ITIES			NERSHIP OF the Act of 1934,	Expires: January 31 2005 Estimated average burden hours per response 0.5			
obligation may conti <i>See</i> Instru 1(b).	nue. Section 17			ility Hold vestment (•	- ·		f 1935 or Sectio 40	n	
(Print or Type R	esponses)									
1. Name and Ad Downing Ma	2. Issuer Name and Ticker or Trading Symbol LATTICE SEMICONDUCTOR CORP [LSCC]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) 5555 N.E. M	3. Date of Earliest Transaction (Month/Day/Year) 10/19/2017					Director 10% Owner X Officer (give title Other (specify below) below) CFO				
HILLSBOR	4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
(City)	(State)	(Zip)	Tabl	a I - Non-D	orivotivo	Socur	itios A co	Person	f or Bonoficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	nsaction Date 2A. Deemed		a.4. Securities Acquired3.4. Securities AcquiredTransaction(A) or Disposed ofCode(D)(Instr. 8)(Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	-
Common Stock	10/19/2017			Code V M	Amount 1,216	(A) or (D) A	Price \$ 0 (1)	Transaction(s) (Instr. 3 and 4) 25,415	D	
Common Stock	10/19/2017			F <u>(2)</u>	443	D	\$ 5.76	24,972	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit (RSU)	\$ 0 <u>(3)</u>	10/19/2017		М	1,210	5 07/19/2017 <u>(4)</u>	(5)	Common Stock	1,216

Reporting Owners

Reporting Owner Name / Address		Relationsh	ips				
1	Director	10% Owner	Officer	Other			
Downing Maxwell J 5555 N.E. MOORE CT. HILLSBORO, OR 97124			CFO				
Signatures							
By: Byron W. Milstead, Attorn Downing	10/23/2017						
<u>**</u> Signature of R	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units no purchase price for this transaction.
- These shares were retained by the Issuer in order to meet the tax withholding obligations of the Reporting Person in connection with the (2) vesting of an installment of the restricted stock units. The amount retained by the Issuer was not in excess of the amount of the tax liability.
- (3) Each Restricted Stock Unit (RSU) represents a right to receive one share of the Issuers common shares without payment of specific consideration.
- (4) This grant of Restricted Stock Units (RSUs) vest as follows: 25% of the RSUs will vest upon the one-year anniversary of the grant date and an additional 6.25% of the RSUs vest each three months thereafter until 100% of the RSU grant is vested.
- (5) Not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.