Edgar Filing: Johnson James W. - Form 4

Johnson Jan Form 4												
November 1										OMB AF	PROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								MMISSION	OMB Number:	3235-0287		
Check th if no lon subject t Section Form 4 o Form 5 obligatio may con <i>See</i> Instr 1(b).	ger o 16. or Filed pu ons tinue. Section 17	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section									January 31, 2005 verage 's per 0.5	
(Print or Type	Responses)											
1. Name and A Johnson Jai	g Person <u>*</u>	8						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) C/O OSHK CORPORA STREET		(Middle) EGON		of Earliest Day/Year) 2017		ansaction			Director _X Officer (give t elow)	10%	Owner r (specify	
				Filed(Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
(City)	(State)	(Zip)	- 1		-				erson		. .	
1.Title of Security (Instr. 3)	Title of ecurity2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date any			Table I - Non-Derivative Securities Acquired (A) 3. 4. Securities Acquired (A) te, if Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (A) (A)					red, Disposed of, 5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	or Beneficiall 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	y Owned 7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	11/10/2017			Code V M		Amount 1,691.032	or (D) A	Price (1)	(Instr. 3 and 4) 45,992.573	D		
Common Stock	11/10/2017			F		592	D	\$ 84.9	45,406.333 (<u>2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: Johnson James W. - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Restricted Stock Units	<u>(1)</u>	11/10/2017		М	1,691.032	11/12/2017	(3)	Common Stock	1,691.0

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Johnson James W. C/O OSHKOSH CORPORATION 2307 OREGON STREET OSHKOSH, WI 54902			Exec. VP & Pres., Fire & Emerg				
Signatures							
Ignacio A. Cortina, for James W.							

Johnson

Date

11/14/2017

Explanation of Responses:

**Signature of Reporting Person

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit represents a contingent right to receive one share of OSK common stock.

Amount includes a total of 630.91 shares acquired under the Oshkosh Corporation Employee Stock Purchase Plan through 11/13/2017.

- (2) Between 9/19/2017 and 11/13/2017, the reporting person acquired 5.76 shares under the Oshkosh Corporation Employee Stock Purchase Plan.
- (3) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 11/12/2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.