SHOTSPOTTER, INC

Form 4 June 09, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Title of

Security

(Instr. 3)

1. Name and Address of Reporting Person * Motorola Solutions, Inc.

(First) (Middle) (Last)

500 WEST MONROE STREET

(Street)

CHICAGO, IL 60661

(Month/Day/Year) Execution Date, if

2. Issuer Name and Ticker or Trading

SHOTSPOTTER, INC [SSTI]

Symbol

3. Date of Earliest Transaction

(Month/Day/Year) 06/07/2017

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Director 10% Owner Other (specify Officer (give title

below) 6. Individual or Joint/Group Filing(Check

Applicable Line)

6. Ownership

Form: Direct

(Instr. 4)

(D) or Indirect Beneficial

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

5. Amount of

Securities

Beneficially

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5)

Owned Following Reported (A)

Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

7. Nature of

Ownership

(Instr. 4)

Indirect

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise (Instr. 3) Price of

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

5. Number of 4 **TransactionDerivative** Code Securities Acquired

(Instr. 8) (A) or Disposed of

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amoun Underlying Securiti (Instr. 3 and 4)

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	Derivative Security				(D) (Instr. 3, 4	, and 5)				
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Warrant (Right to Buy)	\$ 5.8667 (1)	06/07/2017	X			85,226	<u>(1)</u>	08/31/2019	Series B-1 Preferred Stock	85,2
Series B-1 Preferred Stock	<u>(2)</u>	06/07/2017	A		39,771		(2)	(2)	Common Stock	39,7

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Motorola Solutions, Inc. 500 WEST MONROE STREET CHICAGO, IL 60661		X					

Signatures

Kristin L. Kruska, Corporate Vice President for Motorola Solutions, Inc. 06/09/2017

Date

**Signature of Reporting Person Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Warrants were exercised prior to the closing of the Issuer's initial public offering for such number of shares of Series B-1 Preferred Stock issuable pursuant to a cashless net exercise provision, resulting in the Issuer witholding 45,454 of the warrant shares to pay the

- (1) aggregrate exercise price, using the offering price in the Issuer's initial public offering of \$11, issuing to the Reporting Person the remaining 39,771 shares of Series B-1 Preferred Stock. The Reporting Person waived payment of \$9.63 for a fractional share of Series B-1 Preferred Stock.
- (2) The Series B-1 Preferred Stock will convert automatically into common stock of the Issuer without additional consideration upon the closing of the initial public offering on a one-for-one basis and has no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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