

JOHNSON CONTROLS INC  
Form 8-K  
October 29, 2013

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549  
FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of Earliest Event Reported): October 29, 2013

JOHNSON CONTROLS, INC.

(Exact name of registrant as specified in its charter)

Wisconsin

1-5097

39-0380010

(State or other jurisdiction  
of incorporation)

(Commission  
File Number)

(I.R.S. Employer  
Identification No.)

5757 North Green Bay Avenue  
Milwaukee Wisconsin

53209

(Address of principal executive offices)

(Zip Code)

Registrant's telephone number, including area code: 414-524-1200

Not Applicable

Former name or former address, if changed since last report

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 2.02 Results of Operations and Financial Condition

On October 29, 2013, Johnson Controls, Inc. (the “Company”) issued a press release containing information about the Company’s results of operations for the three months and fiscal year ended September 30, 2013. A copy of this press release is incorporated herein by reference as Exhibit 99.1.

Item 7.01 Regulation FD Disclosure

Attached and incorporated herein by reference as Exhibit 99.1 is a copy of the press release dated October 29, 2013 reporting the results of operations for the three months and fiscal year ended September 30, 2013 issued by the registrant on October 29, 2013.

Attached and incorporated herein by reference as Exhibit 99.2 is a copy of the slide presentation for the fiscal year 2013 fourth quarter quarterly update conference call issued by the registrant on October 29, 2013.

Item 9.01 Financial Statements and Exhibits

(d) Exhibits:

99.1 Press release issued by Johnson Controls, Inc., dated October 29, 2013.

99.2 Slide presentation for the fiscal year 2013 fourth quarter quarterly update conference call issued by Johnson Controls, Inc., dated October 29, 2013.

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

JOHNSON CONTROLS, INC.

October 29, 2013

By: /s/ Brian J. Stief

Name: Brian J. Stief

Title: Vice President and Corporate Controller