

Edgar Filing: HUMANA INC - Form 4

HUMANA INC
 Form 4
 March 05, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 WASHINGTON, D.C. 20549
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person
 Murray, James E.
 500 W. Main St.
 Louisville, KY 40202
 USA
2. Issuer Name and Ticker or Trading Symbol
 Humana Inc.
 HUM
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year
 February 28, 2002
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)
 () Director () 10% Owner (X) Officer (give title below) () Other
 (specify below)
 Chief Operating Officer-Service Operations
7. Individual or Joint/Group Filing (Check Applicable Line)
 (X) Form filed by One Reporting Person
 () Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security	2. Transaction Date	3. Transaction Code	4. Securities Acquired (A) or Disposed of (D)		5. Amount of Securities Beneficially Owned at End of Month
			Amount	Price	
Common(1)	2/14/02	F	V	5,346	D \$13.27
Common(1)	2/14/02	G	V	20	D 172,865
Common(1)					D 12,842

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative Security	2. Conversion or Exercise Price of Derivative	3. Transaction Date	4. Transaction Code	5. Number of Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date	7. Title and Amount of Underlying Securities	8. Put or Call

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	Secu- rity	Date	Code	V	Amount	D	cisa- ble	Date	of Shares
Option (2)	11.0131						09/13/91	09/13/00	Common (1) 2,500
Option (2)	11.0131						09/13/92	09/13/00	Common (1) 2,500
Option (2)	11.0131						09/13/93	09/13/00	Common (1) 2,500
Option (2)	6.5625						04/14/95	04/14/03	Common (1) 31,250
Option (2)	6.5625						04/14/96	04/14/03	Common (1) 31,250
Option (2)	6.5625						04/14/97	04/14/03	Common (1) 31,250
Option (2)	6.5625						04/14/98	04/14/03	Common (1) 31,250
Option (2)	15.5938						9/17/99	1/12/05	Common (1) 34,400
Option (4)	15.5938						9/17/99	1/9/07	Common (1) 39,936
Option (4)	15.5938						1/9/00	1/9/07	Common (1) 19,669
Option-ISO (4)	15.5938						9/17/99	1/15/08	Common (1) 4,316
Option-ISO (4)	15.5938						1/15/00	1/15/08	Common (1) 4,316
Option-ISO (4)	15.5938						1/15/01	1/15/08	Common (1) 4,316
Option-NQ (4)	15.5938						9/17/99	1/15/08	Common (1) 7,284
Option-NQ (4)	15.5938						1/15/00	1/15/08	Common (1) 7,284
Option-NQ (4)	15.5938						1/15/01	1/15/08	Common (1) 7,284
Option (4)	19.25						1/15/00	1/15/09	Common (1) 10,000
Option (4)	19.25						1/15/01	1/15/09	Common (1) 10,000
Option (4)	19.25						1/15/02	1/15/09	Common (1) 4,806
Option-ISO (4)	19.25						1//15	1/15/09	Common (1) 5,194

