

AMERICAN ELECTRIC POWER CO INC

Form U-9C-3

February 27, 2003

File No. 074-00015

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM U-9C-3

QUARTERLY REPORT

For the quarter ended December 31, 2002

Filed Pursuant to Rule 58 of the Public Utility Holding Company  
Act of 1935

by  
AMERICAN ELECTRIC POWER COMPANY, INC.  
1 Riverside Plaza, Columbus, Ohio 43215

AMERICAN ELECTRIC POWER COMPANY, INC.  
FORM U-9C-3  
For the Quarter Ended December 31, 2002

CONTENTS

|                                                   | Page |
|---------------------------------------------------|------|
| ITEM 1 - Organization Chart                       | 1    |
| ITEM 2 - Issuances and Renewals of Securities and |      |

## Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

|                                            |    |
|--------------------------------------------|----|
| Capital Contributions                      | 8  |
| ITEM 3 - Associated Transactions           | 9  |
| ITEM 4 - Summary of Aggregate Investment   | 10 |
| ITEM 5 - Other Investments                 | 10 |
| ITEM 6 - Financial Statements and Exhibits | 11 |
| Statements of Income                       |    |
| Balance Sheet                              |    |
| Exhibits                                   |    |

### ITEM 1 - ORGANIZATION CHART

Company names are indented and numbered to identify them as subsidiaries of the company that is listed immediately above them at the next tier. Some companies are subsidiaries of more than one company. The reference code for each subsidiary refers to the supplemental schedule to the organization chart that provides details regarding date and state of organization, nature of business, etc. required to be reported under Item 1.

- 00. American Electric Power Company, Inc.
  - 01. American Electric Power Service Corporation
    - 01. AEP C&I Company, LLC
      - 02. AEP Ohio Commercial & Industrial Retail Company, LLC
      - 02. AEP Texas Commercial & Industrial Retail GP, LLC
        - 03. AEP Texas Commercial & Industrial Retail Limited Partnership
      - 02. AEP Texas Commercial & Industrial Retail Limited Partnership
      - 02. AEP Gas Power GP, LLC
        - 03. AEP Gas Power Systems, LLC 6 01. AEP Coal, Inc. 7
      - 02. Snowcap Coal Company, Inc.
      - 02. AEP Kentucky Coal, LLC
      - 02. AEP Ohio Coal, LLC
      - 02. AEP West Virginia Coal, Inc.
    - 01. AEP Energy Services, Inc.
      - 02. Energy Trading Platform Holding Company, Inc.
      - 02. Intercontinental Exchange Inc.
    - 01. AEP Investments, Inc.
      - 02. AEP EmTech, LLC
        - 03. Altra Energy Technologies, Inc.
        - 03. Amperion, Inc.
        - 03. Universal Supercapacitors, LLC
        - 03. Integrated Fuel Cell Technologies, Inc.
        - 03. Power-span Corp
    - 01. Mutual Energy L.L.C.
      - 02. Mutual Energy Service Company, L.L.C.
      - 02. AEP Ohio Retail Energy, LLC
    - 01. AEP Power Marketing, Inc.
    - 01. AEP T&D Services, LLC
    - 01. AEP Pro Serv, Inc.
      - 02. PHPK Technologies, Inc.
    - 01. AEP Retail Energy LLC
    - 01. AEP Texas POLR, LLC
      - 02. AEP Texas POLR GP, LLC

Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

- 03. POLR Power, L.P.
  - 02. POLR Power, L.P.
  - 01. Central and South West Corporation
    - 02. Public Service Company of Oklahoma
      - 03. Ash Creek Mining Company
      - 03. AEMT, Inc.
      - 03. Powerware Solutions, Inc.
      - 03. Utility Data Resources, Inc.
  - 02. Southwestern Electric Power Company
    - 03. The Arkklahoma Corporation
    - 03. Southwest Arkansas Utilities Corporation
    - 03. Dolet Hills Lignite Company, LLC
  - 02. CSW Energy, Inc.
    - 03. CSW Development-I, Inc.
      - 04. Polk Power GP II, Inc.
      - 05. Polk Power GP, Inc.
      - 06. Polk Power Partners, LP
      - 07. Mulberry Holdings, Inc.
    - 04. CSW Mulberry II, Inc.
    - 05. CSW Mulberry, Inc.
    - 04. Polk Power Partners, LP
    - 05. Mulberry Holdings, Inc.
    - 04. Noah I Power GP, Inc.
    - 05. Noah I Power Partners, LP
    - 06. Brush Cogeneration Partners
    - 04. Noah I Power Partners, LP
    - 05. Brush Cogeneration Partners
    - 04. Orange Cogeneration GP II, Inc.
    - 05. Orange Cogeneration G.P., Inc.
    - 06. Orange Cogeneration Limited Partnership
    - 07. Orange Cogen Funding Corp.
    - 08. Orange Holdings, Inc.
  - 04. CSW Orange II, Inc.
  - 05. CSW Orange, Inc.
  - 06. Orange Cogeneration Limited Partnership
  - 07. Orange Cogen Funding Corp.
  - 08. Orange Holdings, Inc.
03. CSW Development-II, Inc.
03. CSW Ft. Lupton, Inc.
  - 04. Thermo Cogeneration Partnership, L.P.
03. CSW Sweeny GP I, Inc.
  - 04. CSW Sweeny GP II, Inc.
  - 05. Sweeney Cogeneration Limited Partnership
03. CSW Sweeny LP I, Inc.
  - 04. CSW Sweeny LP II, Inc.
  - 05. Sweeney Cogeneration Limited Partnership
03. CSW Nevada, Inc.
03. CSW Services International, Inc.
03. Diversified Energy Contractors Company, LLC
  - 04. DECCO II LLC
  - 05. Diversified Energy Contractors, LP
  - 04. Diversified Energy Contractors, LP
  - 04. Industry and Energy Associates, L.L.C.
03. CSW Eastex GP I, Inc.
  - 04. CSW Eastex GP II, Inc.
  - 05. Eastex Cogeneration Limited Partnership
03. CSW Eastex LP I, Inc.

# Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

04. CSW Eastex LP II, Inc.  
05. Eastex Cogeneration Limited Partnership  
02. EnerShop Inc.  
03. Envirotherm, Inc.  
02. CSW Energy Services, Inc.  
03. Nuvest, L.L.C.  
04. National Temporary Services, Inc.  
05. Octagon, Inc.  
04. Numanco, L.L.C.  
05. NuSun, Inc.  
06. Sun Technical Services, Inc.  
06. Calibration and Testing Corporation  
05. ESG Technical Services, L.L.C.  
05. National Environmental Services Technology, L.L.C.  
05. ESG Indonesia, L.L.C.  
05. ESG, L.L.C.  
05. Numanco Services, LLC  
02. REP Holdco, LLC  
03. Mutual Energy SWEPCO L.P.  
03. REP General Partner LLC  
04. Mutual Energy SWEPCO L.P.

|    | Name of Reporting Company<br>-----                              | Energy or Gas-<br>Related Company<br>----- | Date of<br>Organization<br>----- | State of<br>Organization<br>----- | Percentage of<br>Voting Securities<br>Held<br>---- |                      |
|----|-----------------------------------------------------------------|--------------------------------------------|----------------------------------|-----------------------------------|----------------------------------------------------|----------------------|
| 1  | AEP C&I Company, LLC                                            | Energy                                     | 10/10/00                         | Delaware                          | 100%                                               | Marketin             |
| 2  | AEP Ohio Commercial & Industrial<br>Retail Company, LLC         | Energy                                     | 10/10/00                         | Delaware                          | 100%                                               | energy r<br>Marketin |
| 3  | AEP Texas Commercial & Industrial<br>Retail GP, LLC             | Energy                                     | 12/11/00                         | Delaware                          | 100%                                               | energy r<br>Marketin |
| 4  | AEP Texas Commercial & Industrial<br>Retail Limited Partnership | Energy                                     | 12/12/00                         | Delaware                          | 100%                                               | energy r<br>Marketin |
| 5  | AEP Gas Power GP, LLC                                           | Energy                                     | 12/19/00                         | Delaware                          | 100%                                               | Distribu             |
| 6  | AEP Gas Power Systems, LLC                                      | Energy                                     | 07/09/96                         | Delaware                          | 75%                                                | Distribu             |
| 7  | AEP Coal, Inc.                                                  | Energy                                     | 10/29/01                         | Nevada                            | 100%                                               | Coal Min             |
| 8  | Snowcap Coal Company, Inc.                                      | Energy                                     | 10/29/01                         | Delaware                          | 100%                                               | Coal Min             |
| 9  | AEP Kentucky Coal, LLC                                          | Energy                                     | 10/29/01                         | Delaware                          | 100%                                               | Coal Min             |
| 10 | AEP Ohio Coal, LLC                                              | Energy                                     | 10/26/01                         | Delaware                          | 100%                                               | Coal Min             |
| 11 | AEP West Virginia Coal, Inc.                                    | Energy                                     | 10/29/01                         | Nevada                            | 100%                                               | Coal Min             |
| 12 | AEP Energy Services, Inc.                                       | Energy                                     | 09/24/96                         | Ohio                              | 100%                                               | Broker a             |
| 13 | Energy Trading Platform Holding<br>Company, Inc.                | Energy                                     | 04/28/00                         | Delaware                          | 16.70%                                             | Energy M             |
| 14 | Intercontinental Exchange, Inc.                                 | Energy                                     | 06/16/00                         | Delaware                          | 4.87%                                              | Energy M             |
| 15 | AEP EmTech, LLC                                                 | Energy                                     | 01/03/01                         | Delaware                          | 100%                                               | Developm<br>electrot |
| 16 | Altra Energy Technologies, Inc.                                 | Energy                                     | 08/19/97                         | Delaware                          | 5%                                                 | Energy M             |
| 17 | Amperion, Inc.                                                  | Energy                                     | 02/16/01                         | Delaware                          | 38.30%                                             | Non-regu<br>projects |
| 18 | Universal Supercapacitors, LLC                                  | Energy                                     | 04/16/02                         | Delaware                          | 50%                                                | Non-regu<br>projects |
| 19 | Mutual Energy L.L.C.                                            | Energy                                     | 12/17/99                         | Delaware                          | 100%                                               | Marketin             |

# Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

|    |                                                       |        |          |          |        |                                  |
|----|-------------------------------------------------------|--------|----------|----------|--------|----------------------------------|
| 20 | Mutual Energy Service Company, L.L.C.                 | Energy | 09/05/00 | Delaware | 100%   | energy r<br>Marketin             |
| 21 | AEP Ohio Retail Energy, LLC                           | Energy | 09/05/00 | Delaware | 100%   | energy r<br>Marketin             |
| 22 | AEP Power Marketing, Inc.                             | Energy | 07/22/96 | Ohio     | 100%   | energy r<br>Marketin             |
| 23 | AEP T&D Services, LLC                                 | Energy | 12/12/00 | Delaware | 100%   | energy r<br>Energy s<br>supply c |
| 24 | Powerspan Corp                                        | Energy | 05/14/97 | Delaware | 9.80%  | Pollutio                         |
| 25 | PHPK Technologies, Inc.                               | Energy | 07/01/91 | Ohio     | 40.40% | Cryogeni<br>& Servic             |
| 26 | AEP Retail Energy LLC                                 | Energy | 06/28/00 | Delaware | 100%   | Marketin<br>energy r             |
| 27 | AEP Texas POLR, LLC (aka AEP Texas<br>Retail GP, LLC) | Energy | 12/11/00 | Delaware | 100%   | Marketin<br>energy r             |
| 28 | AEP Texas POLR GP, LLC                                | Energy | 11/14/01 | Delaware | 100%   | Marketin<br>energy r             |
| 29 | POLR Power, L.P.                                      | Energy | 11/14/01 | Delaware | 100%   | Marketin<br>energy r             |
| 30 | Ash Creek Mining Company                              | Energy |          | Oklahoma |        | Sold 8/5                         |
| 31 | AEMT, Inc.                                            | Energy | 12/23/97 | Florida  | 0%     | Power co                         |
| 32 | Powerware Solutions, Inc.                             | Energy | 02/15/94 | Texas    | 4.00%  | Energy M<br>utilitie             |
| 33 | Utility Data Resources, Inc.                          | Energy | 12/30/97 | Delaware | 4.50%  | Electric<br>and soft             |
| 34 | The Arklahoma Corporation                             | Energy | 05/16/47 | Arkansas | 44.20% | Electric                         |
| 35 | Southwest Arkansas Utilities<br>Corporation           | Energy | 03/22/28 | Arkansas | 100%   | Inactive                         |
| 36 | Dolet Hills Lignite Company, LLC                      | Energy | 04/09/01 | Delaware | 100%   | Coal Min                         |
| 37 | CSW Development-I, Inc.                               | Energy | 12/06/90 | Delaware | 100%   | Qualifyi                         |
| 38 | Polk Power GP II, Inc.                                | Energy | 03/20/95 | Delaware | 50%    | Qualifyi                         |
| 39 | Polk Power GP, Inc.                                   | Energy | 09/18/91 | Delaware | 100%   | Qualifyi                         |
| 40 | Polk Power Partners, LP                               | Energy | 02/19/92 | Delaware | 50%    | Qualifyi                         |
| 41 | Mulberry Holdings, Inc.                               | Energy | 10/28/99 | Delaware | 100%   | Qualifyi                         |
| 42 | CSW Mulberry II, Inc.                                 | Energy | 03/21/95 | Delaware | 100%   | Qualifyi                         |
| 43 | CSW Mulberry, Inc.                                    | Energy | 02/03/94 | Delaware | 100%   | Qualifyi                         |
| 44 | Noah I Power GP, Inc.                                 | Energy | 05/14/91 | Delaware | 100%   | Qualifyi                         |
| 45 | Noah I Power Partners, LP                             | Energy | 05/16/91 | Delaware | 100%   | Qualifyi                         |
| 46 | Brush Cogeneration Partners                           | Energy | 06/17/94 | Delaware | 50%    | Qualifyi                         |
| 47 | Orange Cogeneration GP II, Inc.                       | Energy | 03/16/95 | Delaware | 50%    | Qualifyi                         |
| 48 | Orange Cogeneration G.P., Inc.                        | Energy | 02/05/93 | Delaware | 100%   | Qualifyi                         |
| 49 | Orange Cogeneration Limited Partnership               | Energy | 02/05/93 | Delaware | 50%    | Qualifyi                         |
| 50 | Orange Cogen Funding Corp.                            | Energy | 06/11/92 | Delaware | 100%   | Qualifyi                         |
| 51 | Orange Holdings, Inc.                                 | Energy | 10/28/99 | Delaware | 100%   | Qualifyi                         |
| 52 | CSW Orange II, Inc.                                   | Energy | 03/16/95 | Delaware | 100%   | Qualifyi                         |
| 53 | CSW Orange, Inc.                                      | Energy | 04/21/93 | Delaware | 100%   | Qualifyi                         |
| 54 | CSW Development-II, Inc.                              | Energy | 06/11/92 | Delaware | 100%   | Qualifyi                         |
| 55 | CSW Ft. Lupton, Inc.                                  | Energy | 04/01/93 | Delaware | 50%    | Qualifyi                         |
| 56 | Thermo Cogeneration Partnership, L.P.                 | Energy | 03/17/93 | Delaware | 100%   | Qualifyi                         |
| 57 | CSW Sweeny GP I, Inc.                                 | Energy | 09/06/95 | Delaware | 100%   | Qualifyi                         |
| 58 | CSW Sweeny GP II, Inc.                                | Energy | 09/06/95 | Delaware | 100%   | Qualifyi                         |
| 59 | Sweeney Cogeneration Limited<br>Partnership           | Energy | 10/10/95 | Delaware | 50%    | Qualifyi                         |
| 60 | CSW Sweeny LP I, Inc.                                 | Energy | 09/06/95 | Delaware | 100%   | Qualifyi                         |
| 61 | CSW Sweeny LP II, Inc.                                | Energy | 09/06/95 | Delaware | 100%   | Qualifyi                         |
| 62 | CSW Nevada, Inc.                                      | Energy | 06/29/93 | Delaware | 100%   | Energy M                         |
| 63 | CSW Services International, Inc.                      | Energy | 03/19/97 | Delaware | 100%   | Non-regu<br>projects             |
| 64 | Diversified Energy Contractors<br>Company, LLC        | Energy | 07/03/97 | Delaware | 100%   | Non-regu<br>projects             |
| 65 | DECCO II LLC                                          | Energy | 08/08/97 | Delaware | 100%   | Non-regu                         |

# Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

|    |                                                       |        |          |              |        |                      |
|----|-------------------------------------------------------|--------|----------|--------------|--------|----------------------|
| 66 | Diversified Energy Contractors, LP                    | Energy | 08/18/98 | Delaware     | 100%   | projects<br>Non-regu |
| 67 | Industry and Energy Associates, L.L.C.                | Energy | 05/26/98 | Delaware     | 100%   | projects<br>Non-regu |
| 68 | CSW Eastex GP I, Inc.                                 | Energy | 09/04/98 | Delaware     | 100%   | projects<br>Qualifyi |
| 69 | CSW Eastex GP II, Inc.                                | Energy | 09/04/98 | Delaware     | 100%   | Qualifyi             |
| 70 | Eastex Cogeneration Limited Partnership               | Energy | 09/09/98 | Delaware     | 50%    | Qualifyi             |
| 71 | CSW Eastex LP I, Inc.                                 | Energy | 09/04/98 | Delaware     | 100%   | Qualifyi             |
| 72 | CSW Eastex LP II, Inc.                                | Energy | 09/04/98 | Delaware     | 100%   | Qualifyi             |
| 73 | EnerShop Inc.                                         | Energy | 09/11/95 | Delaware     | 100%   | Energy M             |
| 74 | Envirotherm, Inc.                                     | Energy | 01/18/82 | Texas        | 100%   | Energy M             |
| 75 | CSW Energy Services, Inc.                             | Energy | 09/24/97 | Delaware     | 100%   | Energy M             |
| 76 | Nuvest, L.L.C.                                        | Energy | 02/20/96 | Delaware     | 92.90% | Staff Au             |
| 77 | National Temporary Services, Inc.                     | Energy | 12/31/90 | Pennsylvania | 100%   | Staff Au             |
| 78 | Octagon, Inc.                                         | Energy | 11/05/93 | Delaware     | 100%   | Staff Au             |
| 79 | Numanco, L.L.C.                                       | Energy | 04/08/96 | Oklahoma     | 100%   | Staff Au             |
| 80 | NuSun, Inc.                                           | Energy | 04/26/88 | Delaware     | 100%   | Staff Au             |
| 81 | Sun Technical Services, Inc.                          | Energy | 01/16/91 | California   | 100%   | Staff Au             |
| 82 | Calibration and Testing Corporation                   | Energy | 01/02/80 | California   | 100%   | Staff Au             |
| 83 | ESG Technical Services, L.L.C.                        | Energy | 07/16/99 | Oklahoma     | 100%   | Staff Au             |
| 84 | National Environmental Services<br>Technology, L.L.C. | Energy | 11/13/98 | Oklahoma     | 100%   | Staff Au             |
| 85 | ESG Indonesia, L.L.C.                                 | Energy | 11/13/98 | Oklahoma     | 100%   | Staff Au             |
| 86 | ESG, L.L.C.                                           | Energy | 11/27/96 | Oklahoma     | 50%    | Staff Au             |
| 87 | Numanco Services, LLC                                 | Energy | 04/08/96 | Oklahoma     | 100%   | Staff Au             |
| 88 | REP Holdco, LLC                                       | Energy | 04/04/01 | Delaware     | 100%   | Marketin<br>energy r |
| 91 | Mutual Energy SWEPCO L.P.                             | Energy | 04/04/01 | Delaware     | 100%   | Marketin<br>energy r |
| 92 | REP General Partner LLC                               | Energy | 04/04/01 | Delaware     | 100%   | Marketin<br>energy r |
| 93 | Integrated Fuel Cell Technologies, Inc.               | Energy | 04/04/01 | Delaware     | .10%   | Non-regu<br>projects |

- 8 -

## ITEM 2 - ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS

### SECURITIES:

| Company<br>Issuing<br>Security | Type of<br>Security<br>Issued | Principal<br>Amount of<br>Security | Issue or<br>Renewal | Cost of<br>Capital | Person to<br>Whom Security<br>Was Issued | Collateral<br>Given With<br>Security |
|--------------------------------|-------------------------------|------------------------------------|---------------------|--------------------|------------------------------------------|--------------------------------------|
| -----                          | -----                         | -----                              | -----               | -----              | -----                                    | -----                                |
| (in thousands)                 |                               |                                    |                     |                    |                                          |                                      |
| None                           |                               |                                    |                     |                    |                                          |                                      |

### FINANCING AMONG ASSOCIATE COMPANIES:

# Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

| Company<br>Issuing<br>Security<br>----- | Type of<br>Security<br>Issued<br>----- | Principal<br>Amount of<br>Security<br>----- | Issue or<br>Renewal<br>----- | Cost of<br>Capital<br>----- | Person to<br>Whom Security<br>Was Issued<br>----- |
|-----------------------------------------|----------------------------------------|---------------------------------------------|------------------------------|-----------------------------|---------------------------------------------------|
|-----------------------------------------|----------------------------------------|---------------------------------------------|------------------------------|-----------------------------|---------------------------------------------------|

(in thousands)

None

## CAPITAL CONTRIBUTIONS:

| Company<br>Contributing<br>Capital<br>----- | Company<br>Receiving<br>Capital<br>----- | Amount of<br>Capital Contributions<br>----- |
|---------------------------------------------|------------------------------------------|---------------------------------------------|
|---------------------------------------------|------------------------------------------|---------------------------------------------|

(in thousands)

None

## ITEM 3 - ASSOCIATED TRANSACTIONS

Part I -- Transactions performed by reporting companies on behalf of associate companies

| Reporting<br>Company<br>Rendering<br>Services<br>----- | Associate<br>Company<br>Receiving<br>Services<br>----- | Types of<br>Services<br>Rendered<br>----- | Direct<br>Costs<br>Charged<br>----- | Indirect<br>Costs<br>Charged<br>----- | Cost<br>of Capital<br>----- | Total<br>Amount<br>Billed<br>----- |
|--------------------------------------------------------|--------------------------------------------------------|-------------------------------------------|-------------------------------------|---------------------------------------|-----------------------------|------------------------------------|
|--------------------------------------------------------|--------------------------------------------------------|-------------------------------------------|-------------------------------------|---------------------------------------|-----------------------------|------------------------------------|

(in thousands)

|             |                                   |             |          |   |   |          |
|-------------|-----------------------------------|-------------|----------|---|---|----------|
| Nuvest, LLC | South Texas Project               | Engineering | \$ 1,689 | - | - | \$ 1,689 |
| Nuvest, LLC | D.C. Cook                         | Engineering | 8,214    | - | - | 8,214    |
| Nuvest, LLC | Regional Service<br>Office - West | Engineering | 3,607    | - | - | 3,607    |

Part II -- Transactions performed by associate companies on behalf of reporting companies

| Associate<br>Company<br>Rendering<br>Services<br>----- | Reporting<br>Company<br>Receiving<br>Services<br>----- | Types of<br>Services<br>Rendered<br>----- | Direct<br>Costs<br>Charged<br>----- | Indirect<br>Costs<br>Charged<br>----- |
|--------------------------------------------------------|--------------------------------------------------------|-------------------------------------------|-------------------------------------|---------------------------------------|
|--------------------------------------------------------|--------------------------------------------------------|-------------------------------------------|-------------------------------------|---------------------------------------|

(in thousands)

|                                                |       |                            |         |          |
|------------------------------------------------|-------|----------------------------|---------|----------|
| American Electric<br>Power Service Corporation | AEPES | Administrative & Marketing | \$8,433 | \$16,786 |
| Houston Pipeline                               | AEPES | Asset Management           | 6,250   | -        |
| Jefferson Island                               | AEPES | Asset Management           | 1,250   | -        |

# Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

|                                 |                               |          |          |  |
|---------------------------------|-------------------------------|----------|----------|--|
| CSW Energy, Inc.                | Polk Power                    |          |          |  |
|                                 | Partners, L.P. Plant Services | 440      | 204      |  |
| CSW Energy, Inc.                | Orange                        |          |          |  |
|                                 | Cogeneration                  |          |          |  |
|                                 | L.P. Plant Services           | 811      | 196      |  |
| CSW Dev I, Inc.                 | Polk Power                    |          |          |  |
|                                 | Partners, L.P. Plant Services | 100      | -        |  |
| CSW Services International Inc. | Eastex                        |          |          |  |
|                                 | Cogeneration                  |          |          |  |
|                                 | L.P. Plant Services           | 472      | 716      |  |
| CSW Services International Inc. | Sweeny                        |          |          |  |
|                                 | Cogeneration                  |          |          |  |
|                                 | L.P. Plant Services           | 474      | 570      |  |
|                                 |                               | -----    | -----    |  |
| Total                           |                               | \$18,230 | \$18,472 |  |
|                                 |                               | =====    | =====    |  |

## ITEM 4 - SUMMARY OF AGGREGATE INVESTMENT

Investments in energy-related companies:

(in thousands)

|                                                                                                                                                                                |                  |        |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|--------|
| Total consolidated capitalization<br>as of December 31, 2002                                                                                                                   | \$21,949,869 (a) | Line 1 |
| Total capitalization multiplied by 15%<br>(line 1 multiplied by 0.15)                                                                                                          | 3,292,480        | Line 2 |
| Greater of \$50 million or line 2                                                                                                                                              | \$3,292,480      | Line 3 |
| Total current aggregate investment:<br>(categorized by major line of energy-related business)                                                                                  |                  |        |
| Energy-related Category 1: Energy Services                                                                                                                                     | 24,810           |        |
| Energy-related Category 5: Energy Marketing                                                                                                                                    | 948,742          |        |
| Energy-related Category 7: Maintenance Services                                                                                                                                | 79,091           |        |
| Energy-related Category 8: Qualifying Facility                                                                                                                                 | 679,500          |        |
| Energy-related Category 10: Other Form of Energy                                                                                                                               | 151,516          |        |
| Energy-related Category 2,3,4,6,9                                                                                                                                              | 63,551           |        |
| Total current aggregate investment                                                                                                                                             | 1,947,210        | Line 4 |
|                                                                                                                                                                                | -----            |        |
| Difference between the greater of \$50 million or 15% of capitalization and<br>the total aggregate investment of the registered holding company system<br>(line 3 less line 4) | \$1,345,270      | Line 5 |
|                                                                                                                                                                                | =====            |        |

(a) Includes short-term debt.

Investments in gas-related companies:

NONE



# Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

## ITEM 5 - OTHER INVESTMENTS

| Major Line<br>of Energy-Related<br>Business<br>-----      | Other<br>Investment in Last<br>U-9C-3 Report<br>----- | Other<br>Investment in This<br>U-9C-3 Report<br>----- | Reason for Difference in<br>Other Investment<br>----- |
|-----------------------------------------------------------|-------------------------------------------------------|-------------------------------------------------------|-------------------------------------------------------|
| (in thousands)                                            |                                                       |                                                       |                                                       |
| CSW Energy Services<br>Invested prior to<br>April 1, 1997 | \$ 2,696                                              | \$ 2,696                                              | Amounts are excluded from Item                        |
| Qualifying facility<br>Invested prior to<br>April 1, 1997 | \$194,644                                             | \$194,644                                             | Amounts are excluded from Item                        |

## ITEM 6 - FINANCIAL STATEMENTS AND EXHIBITS

List all financial statements and exhibits filed as a part of this report.

### Financial Statements:

Balance sheets and Income Statements for period ended December 31, 2002 are filed confidentially under a separate cover for:

AEP C&I Company, LLC  
 AEP Coal, Inc.  
 AEP EmTech LLC  
 AEP Energy Services, Inc.  
 AEP Gas Power GP, LLC  
 AEP Gas Power System GP, LLC  
 AEP Ohio C&I Retail Company  
 AEP Power Marketing, Inc.  
 AEP Retail Energy, LLC  
 AEP T&D Services, LLC  
 AEP Texas C&I Retail LP  
 AEP Texas C&I Retail GP, LLC  
 AEP Texas GP, LLC  
 CSW Development-I, Inc. (consolidated)  
 CSW Energy Consolidated  
 CSW Energy Services, Inc.  
 CSW Ft. Lupton, Inc.  
 CSW Power Marketing, Inc.  
 CSW Services International Inc.  
 CSWI Consolidated  
 Diversified Energy Contractors Company (DECCO)  
 Dolet Hills Lignite Company  
 Eastex Cogeneration LP  
 Enershop, Inc.  
 Mutual Energy LLC (consolidated)  
 Mutual Energy SWEPCO L.P.  
 Nuvest, LLC  
 POLR Power, L.P.  
 REP General Partner LLC  
 Sweeny Companies (consolidated)

## Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

### Exhibits:

1. Certificate stating that a copy of the U-9C-3 for the previous quarter has been filed with the Ohio, Kentucky, Virginia, West Virginia, Tennessee, Indiana, Arkansas, Louisiana, Oklahoma, Michigan and Texas State Commissions.

#### CERTIFICATE

The undersigned certifies that he is the duly designated and acting Treasurer of American Electric Power Company, Inc., a New York corporation ("AEP"), and that:

AEP's Quarterly Report on Form U-9C-3 filed pursuant to Rule 58 for the quarter ended December 31, 2002 (the "Quarterly Report on Form U-9C-3") was filed with each state commission having jurisdiction over the retail rates of the public utility companies that are associate companies of any of the reporting companies (the "Specific State Commissions").

The names and addresses of the Specific State Commissions are:

Indiana Utility Regulatory Commission  
302 West Washington Street, E 306  
Indianapolis, Indiana 46204

Kentucky State Commission  
211 Sower Boulevard  
P.O. Box 615 Frankfort, Kentucky 40602

Michigan Public Service Commission  
6545 Mercantile Way  
P.O. Box 30221 Lansing, Michigan 48909

Public Service Commission of West Virginia  
201 Brooks Street  
P.O. Box 812  
Charleston, West Virginia 25323

Tennessee Regulatory Authority  
460 James Robertson Parkway  
Nashville, Tennessee 37243-0505

The Public Utilities Commission of Ohio  
180 East Broad Street  
Columbus, Ohio 43215

Virginia State Corporation Commission  
1300 East Main Street  
P.O. Box 2118 Richmond, Virginia 23216

Arkansas Public Service Commission  
1000 Center  
P.O. Box 400 Little Rock, AR 72203-0400

Louisiana Public Service Commission  
One American Place  
P.O. Box 91154 Baton Rouge, LA 70821-9154

Oklahoma Corporation Commission  
2102 N. Lincoln Blvd.

Edgar Filing: AMERICAN ELECTRIC POWER CO INC - Form U-9C-3

P.O. Box 52000-2000  
Oklahoma City, OK 73152-2000

Public Utility Commission of Texas  
1701 N. Congress Avenue  
Austin, TX 78701

IN WITNESS WHEREOF, I have hereunto set my hand as of the 28th day of  
February, 2003.

/s/ A. A. Pena

-----  
A. A. Pena  
Treasurer

SIGNATURE

The undersigned system company has duly caused this quarterly report to  
be signed on its behalf by the undersigned, thereunto duly authorized, pursuant  
to the requirements of the Public Utility Holding Company Act of 1935.

AMERICAN ELECTRIC POWER COMPANY, INC.

By /s/ A. A. Pena

-----  
A. A. Pena  
Treasurer

February 28, 2003