AMERICAN ELECTRIC POWER COMPANY INC
Form U-9C-3
November 28, 2001

File No. 074-00015

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM U-9C-3

QUARTERLY REPORT

For the quarter ended September 30, 2001

Filed Pursuant to Rule 58 of the Public Utility Holding Company Act of 1935

by
AMERICAN ELECTRIC POWER COMPANY, INC.
1 Riverside Plaza, Columbus, Ohio 43215

AMERICAN ELECTRIC POWER COMPANY, INC.
FORM U-9C-3
For the Quarter Ended September 30, 2001

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ITEM 1 - ORGANIZATION CHART

Company names are indented and numbered to identify them as subsidiaries of the company that is listed immediately above them at the next tier. Some companies are subsidiaries of more than one company.

Reference Code

00 American Electric Power Company, Inc.
01 AEP C&I Company, LLC.....Ref 21
02 AEP Gas Power GP, LLC.....Ref 22
03 AEP Gas Power System, LLC.....Ref 23
02 AEP Ohio Commercial & Industrial Retail Company,LLC.....Ref 24
02 AEP Texas Commercial & Industrial Retail GP,LLC.....Ref 27
03 AEP Texas Commercial & Industrial RetailLP.....Ref 33
01 AEP Energy Services, Inc.....Ref 1
02 Energy Trading Platform Holding Company,Inc.....Ref 17
01 AEP Investments, Inc.
02 AEP EmTech, LLC.....Ref 31
02 Altra Energy Technologies, Inc.....Ref 20
01 AEP Pro Serv, Inc.
02 Powerspan Corp.....Ref 18
02 PHPK Technologies, Inc.....Ref 19
01 AEP Retail Energy LLC.....Ref 25
01 AEP Texas Commercial & Industrial Retail Limited Partnership
01 AEP Texas Retail GP, LLC.....Ref 28
01 AEP T&D Services, LLC.....Ref 26
01 Mutual Energy L.L.C.....Ref 29
02 AEP Ohio Retail Energy, LLC.....Ref 32
02 Mutual Energy Service Company,L.L.C.....Ref 30
01 Central and South West Corporation
02 Central Power and Light Company
02 Public Service Company of Oklahoma
03 AEMT, Inc.....Ref 14
03 Ash Creek Mining Company
03 Powerware Solutions, Inc.....Ref 11
03 Utility Data Resources, Inc.....Ref 12
02 REP Holdco, Inc. Ref 34 03 REP General Partner LLC.....Ref 35
03 Mutual Energy CPL LP.....Ref 36
03 Mutual Energy WTU LP.....Ref 37
03 Mutual Energy SWEPCO LP.....Ref 38
02 Southwestern Electric Power Company
03 Dolet Hills Lignite Company,LLC.....Ref 39
02 CSW Energy, Inc.
03 CSW Development-I, Inc.
04 Polk Power GP II, Inc.
05 Polk Power GP, Inc.

06 Polk Power Partners, LP.....Ref 2
07 Mulberry Holdings, Inc.
04 CSW Mulberry II, Inc.
05 CSW Mulberry, Inc.
06 Polk Power Partners, LP.....Ref 2
07 Mulberry Holdings, Inc.
04 Noah I Power GP, Inc.
05 Noah I Power Partners, LP
06 Brush Cogeneration Partners.....Ref 4
04 Noah I Power Partners, LP
05 Brush Cogeneration Partners.....Ref 4
04 Orange Cogeneration GP II, Inc.
05 Orange Cogeneration G.P., Inc.
06 Orange Cogeneration Limited Partnership.....Ref 3
07 Orange Cogen Funding Corp.
07 Orange Holdings, Inc.
04 CSW Orange II, Inc.
05 CSW Orange, Inc.
06 Orange Cogeneration Limited Partnership.....Ref 3
07 Orange Cogen Funding Corp.
07 Orange Holdings, Inc.
03 CSW Development-II, Inc.
03 CSW Ft. Lupton, Inc.
04 Thermo Cogeneration Partnership, L.P.....Ref 6
03 Newgulf Power Venture, Inc.
03 CSW Sweeny GP I, Inc.
04 CSW Sweeny GP II, Inc.
03 CSW Sweeny LP I, Inc.
04 CSW Sweeny LP II, Inc.
05 Sweeny Cogneration Limited Partnership.....Ref 7
03 CSW Development-3, Inc.
03 CSW Northwest GP, Inc.
03 CSW Northwest LP, Inc.
03 CSW Power Marketing, Inc.....Ref 9
03 CSW Nevada, Inc.
03 CSW Services International, Inc.....Ref 10
03 Diversified Energy Contractors Company, LLC.....Ref 8
04 DECCO II LLC
05 Diversified Energy Contractors, LP
04 Diversified Energy Contractors, LP
04 Industry and Energy Associates, L.L.C.
03 CSW Frontera GP I, Inc.
04 CSW Frontera GP II, Inc.
05 Frontera Generation Limited Partnership
06 Frontera International Sales Limited
03 CSW Frontera LP I, Inc.
04 CSW Frontera LP II, Inc.
05 Frontera Generation Limited Partnership
06 Frontera International Sales Limited
03 CSW Eastex GP I, Inc.
04 CSW Eastex GP II, Inc.
05 Eastex Cogeneration Limited Partnership.....Ref 5
03 CSW Eastex LP I, Inc.
04 CSW Eastex LP II, Inc.
05 Eastex Cogeneration Limited Partnership.....Ref 5
03 Southwestern Electric Wholesale Company
02 EnerShop Inc.....Ref 16
03 Envirotherm, Inc.
02 CSW Energy Services, Inc.....Ref 15
03 Nuvest, L.L.C.....Ref 13
04 National Temporary Services, Inc.
05 Octagon, Inc.

04 Numanco, L.L.C.
05 Power Systems Energy Services, Inc.
05 NuSun, Inc.
06 Sun Technical Services, Inc.
06 Calibration Testing Corporation
05 ESG Technical Services, L.L.C.
05 ESG Manufacturing, L.L.C.
05 National Environmental Services Technology,L.L.C.
05 ESG Indonesia, L.L.C.
05 Advance Shielding Technologies, L.L.C.
05 ESG, L.L.C.

Name of Reporting Company	Energy or gas-related Company	Date of Organization	State of Organization	Percentage Of Voting Securities Held
Ref. 1 AEP Energy Services, Inc.	Energy	September 24, 1996	Ohio	100%
Ref. 2 Polk Power Partners, L.P.	Energy	February 1992	Delaware	46.25%
Ref. 3 Orange Cogeneration Limited Partnership	Energy	February 1993	Delaware	50%
Ref. 4 Brush Cogeneration Partners	Energy	November 1991	Delaware	47.25%
Ref. 5 Eastex Cogeneration Limited Partnership	Energy	September 1998	Delaware	99%
Ref. 6 Thermo Cogneration Partnership, L.P.	Energy	April 1993	Delaware	50%
Ref. 7 Sweeny Cogneration Limited Partnership	Energy	September 1995	Delaware	50%
Ref. 8 Diversified Energy Contractors LLC	Energy	July 1997	Delaware	100%
Ref. 9 CSW Power Marketing, Inc.	Energy	March 1996	Delaware	100%
Ref. 10 CSW Services International, Inc.	Energy	March 1997	Delaware	100%
Ref. 11 Powerware Solutions, Inc.	Energy	February 1994	Texas	4.0%
Ref. 12. Utility Data Resources, Inc.	Energy	December 1997	Delaware	4.5%
Ref. 13 Nuvest, LLC	Energy	March 1996	Oklahoma	93%
Ref. 14	Energy	December 1997	Florida	0%

AEMT, Inc.

Ref. 15	Energy	September 1997	Delaware	100%
CSW Energy Services, Inc.				
Ref. 16	Energy	September 1995	Delaware	100%
EnerShop				
Ref. 17	Energy	April 2000	Delaware	16.7%
Energy Trading Platform Holding Company, Inc.				
Ref. 18	Energy		Delaware	9.8%
Power-span Corp				
Ref. 19	Energy	July 1991	Ohio	40.4%
PHPK Technologies Inc.				
Ref. 20	Energy	December 1997	Delaware	5%
Altra Energy Technology (AEP Investments)				
Ref. 21	Energy	October 2000	Delaware	100%
AEP C&I Company, LLC (AEP)				
Ref. 22	Energy	December 2000	Delaware	100%
AEP Gas Power GP,LLC				
Ref. 23	Energy	December 2000	Delaware	75%
AEP Gas Power System GP, LLC				
Ref. 24	Energy	October 2000	Delaware	100%
AEP Ohio C&I Retail Company LLC				
Ref. 25	Energy	June 2000	Delaware	100%
AEP Retail Energy, LLC				
Ref. 26	Energy	December 2000	Delaware	100%
AEP T&D Services, LLC				
Ref. 27	Energy	December 2000	Delaware	100%
AEP Texas Commercial & Industrial Retail GP, LLC				
Ref. 28	Energy	December 2000	Delaware	100%
AEP Texas Retail GP, LLC				
Ref. 29	Energy	December 1999	Delaware	100%
Mutual Energy LLC				
Ref. 30.	Energy	September 2000	Delaware	100%
Mutual Energy Service Company LLC				

Ref. 31. AEP EmTech, LLC	Energy	January 2001	Delaware	100%
Ref. 32. AEP Ohio Retail Energy, LLC	Energy	September 2000	Delaware	100%
Ref. 33. AEP Texas Commercial & Industrial Retail LP	Energy	December 2000	Delaware	100%
Ref. 34. REP Holdco, Inc.	Energy	April 2001	Delaware	100%
Ref. 35. REP General Partner LLC	Energy	April 2001	Delaware	100%
Ref. 36. Mutual Energy CPL LP	Energy	April 2001	Delaware	100%
Ref. 37. Mutual Energy WTU LP	Energy	April 2001	Delaware	100%
Ref. 38. Mutual Energy SWEPCO LP	Energy	April 2001	Delaware	100%
Ref. 39. Dolet Hills Lignite Company, LLC	Energy	April 2001	Delaware	100%

ITEM 2 - ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS

SECURITIES:

Company Issuing Security	Type of Security Issued	Principal Amount of Security (in thousands)	Issue or Renewal	Cost of Capital	Person to Whom Security Was Issued	Collateral Given With Security
NONE						

FINANCING AMONG ASSOCIATE COMPANIES:

Company Issuing Security	Type of Security Issued	Principal Amount of Security (in thousands)	Issue or Renewal	Cost of Capital	Person to Whom Security Was Issued

Eastex Cogeneration LP	Loan Note	4,878	-	-	CSW Energy, Inc.
CSW Power Marketing, Inc.	Loan Note	208	-	-	CSW Energy, Inc.

CAPITAL CONTRIBUTIONS:

Company Contributing Capital	Company Receiving Capital	Amount of Capital Contributions
		(in thousands)
Southwestern Electric Power Company	Dolet Hills Lignite Company	25,210

ITEM 3 - ASSOCIATED TRANSACTIONS

Part I -- Transactions performed by reporting companies on behalf of associate companies

Reporting Company Rendering Services	Associate Company Receiving Services	Types of Services Rendered	Direct Costs Charged	Indirect Costs Charged	Cost of Capital	Total Amount Billed
				(in thousands)		
Nuvest, LLC	South Texas Project	Engineering	$ 1,332	-	-	$ 1,332
Nuvest, LLC	D.C. Cook	Engineering	11,313	-	-	11,313

Part II -- Transactions performed by associate companies on behalf of reporting companies

Associate Company Rendering Services	Reporting Company Receiving Services	Types of Services Rendered	Direct Costs Charged	Indirect Costs Charged
				(in t
American Electric Power Service Corporation	AEPES	Administrative & Marketing	$13,117	$1,602
AEP Resources Gas Holding Company	AEPES	Administrative	7,774	-
CSW Energy, Inc.	Polk Power Partners, L.P.	Plant Services	280	358
CSW Energy, Inc.	Orange Cogeneration L.P.	Plant Services	419	229
CSW Dev I, Inc.	Polk Power Parnters, L.P.	Plant Services	135	-
CSW Energy, Inc.	Eastex Cogeneration L.P.	Plant Services	309	80
CSW Services International Inc.	Sweeny Cogeneration			

	L.P.	Plant Services	459	226
Total			$22,493	$2,495

ITEM 4 - SUMMARY OF AGGREGATE INVESTMENT

Investments in energy-related companies:

(in thousands)

Total consolidated capitalization as of June 30, 2001	$23,906,919(a)		Line 1
Total capitalization multiplied by 15% (line 1 multiplied by 0.15)	3,586,038		Line 2
Greater of $50 million or line 2		$3,586,038	Line 3
Total current aggregate investment: (categorized by major line of energy-related business)			
Energy-related Category 1: Energy Services	4,468		
Energy-related Category 5: Energy Marketing	414,641		
Energy-related Category 7: Maintenance Services	53,139		
Energy-related Category 8: Qualifying Facility	1,093,850		
Energy-related Category 10: Other Form of Energy	91,855		
Energy-related Category 2,3,4,6,9	58,937		
Total current aggregate investment		1,716,890	Line 4
Difference between the greater of $50 million or 15% of capitalization and the total aggregate investment of the registered holding company system (line 3 less line 4)		$1,869,148	Line 5

(a) Includes short-term debt.

Investments in gas-related companies:

NONE

ITEM 5 - OTHER INVESTMENTS

Major Line of Energy-Related Business	Other Investment in Last U-9C-3 Report	Other Investment in This U-9C-3 Report	Reason for Difference in Other Investment
	(in thousands)		
CSW Energy services Invested prior to April 1, 1997	$ 2,696	$ 2,696	Amounts are excluded from Item
Maintenance services	0	0	
Qualifying facility Invested prior to April 1, 1997	$194,644	$194,644	Amounts are excluded from Item

ITEM 6 - FINANCIAL STATEMENTS AND EXHIBITS

List all financial statements and exhibits filed as a part of this report.

Financial Statements:

Balance sheets for period ended September 30, 2001 are filed confidentially under a separate cover for:

AEP Energy Services, Inc.
AEP Retail Energy, LLC
CSW Development-I, Inc. (consolidated)
CSW Ft. Lupton, Inc.
Sweeny Companies (consolidated)
Enershop, Inc.
CSW Energy Services, Inc.
CSW Power Marketing, Inc.
Diversified Energy Contractors Company (DECCO)
CSW Services International
Eastex Cogeneration LP Nuvest, LLC AEP C&I Company, LLC AEP Gas Power GP, LLC AEP Gas Power System GP, LLC AEP Ohio C&I Retail Company AEP Retail Energy, LLC AEP T&D Services, LLC AEP Texas C&I Retail GP, LLC AEP Texas Retail GP, LLC Mutual Energy LLC Mutual Energy Service Company Dolet Hills Lignite Company

Income Statements as of the three months ended September 30, 2001 are filed confidentially under separate cover for:

AEP Energy Services, Inc.
AEP Retail Energy, LLC
CSW Development-I, Inc. (consolidated)
CSW Ft. Lupton, Inc.
Sweeny Companies (consolidated)
Enershop, Inc.
CSW Energy Services, Inc.
CSW Power Marketing, Inc.
Diversified Energy Contractors Company (DECCO)
CSW Services International
Eastex Cogeneration LP Nuvest, LLC AEP C&I Company, LLC AEP Gas Power GP, LLC AEP Gas Power System GP, LLC AEP Ohio C&I Retail Company AEP Retail Energy, LLC AEP T&D Services, LLC AEP Texas C&I Retail GP, LLC AEP Texas Retail GP, LLC Mutual Energy LLC Mutual Energy Service Company Dolet Hills Lignite Company

Exhibits:

1. Certificate stating that a copy of the U-9C-3 for the previous quarter has been filed with the Ohio, Kentucky, Virginia, West Virginia, Tennessee, Indiana, Arkansas, Louisiana, Oklahoma, Michigan and Texas State Commissions.

CERTIFICATE

The undersigned certifies that he is the duly designated and acting Treasurer of American Electric Power Company, Inc., a New York corporation ("AEP"), and that:

AEP's Quarterly Report on Form U-9C-3 filed pursuant to Rule 58 for the quarter ended June 30, 2001 (the "Quarterly Report on Form U-9C-3") was filed with each state commission having jurisdiction over the retail rates of the public utility companies that are associate companies of any of the reporting companies (the "Specific State Commissions").

The names and addresses of the Specific State Commissions are:

Indiana Utility Regulatory Commission
302 West Washington Street, E 306
Indianapolis, Indiana 46204

Kentucky Public Service Commission
730 Schenkel Lane
P.O. Box 615
Frankfort, Kentucky 40602

Michigan Public Service Commission
6545 Mercantile Way
P.O. Box 30221
Lansing, Michigan 48909

Public Service Commission of West Virginia
201 Brooks Street
P.O. Box 812
Charleston, West Virginia 25323

Tennessee Regulatory Authority
460 James Robertson Parkway
Nashville, Tennessee 37243-0505

The Public Utilities Commission of Ohio
180 East Broad Street
Columbus, Ohio 43215

Virginia State Corporation Commission
1300 East Main Street
P.O. Box 2118
Richmond, Virginia 23216

Arkansas Public Service Commission
1000 Center
P.O. Box 400
Little Rock, AR 72203-0400

Louisiana Public Service Commission
One American Place
P.O. Box 91154
Baton Rouge, LA 70821-9154

Oklahoma Corporation Commission
2102 N. Lincoln Blvd.
P.O. Box 52000-2000
Oklahoma City, OK 73152-2000

Public Utility Commission of Texas
1701 N. Congress Avenue
Austin, TX 78701

IN WITNESS WHEREOF, I have hereunto set my hand as of the 27th day of November, 2001.

/s/ A. A. Pena

A. A. Pena
Treasurer

SIGNATURE

The undersigned system company has duly caused this quarterly report to be signed on its behalf by the undersigned, thereunto duly authorized, pursuant to the requirements of the Public Utility Holding Company Act of 1935.

AMERICAN ELECTRIC POWER COMPANY, INC.

By /S/ A. A. Pena

A. A. Pena
Treasurer

November 27, 2001