Edgar Filing: Ally Financial Inc. - Form 4

| Ally Financial Inc. Form 4 June 20, 2016 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. MB AURILY 31, 2005 Form 4 or Form 5 obligations may continue. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 30(h) of the Investment Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1940, 30(h) of the Investment Company Act of 1940, 30(h) Stimate Aurice Aur | | | | | | | | | | |
|---|-------------|---|---|---|--------|--|--|--|------------------------|--|
| (Print or Type] | Responses) | | | | | | | | | |
| 1. Name and A Morais Diat | Symbol | 2. Issuer Name and Ticker or Trading Symbol Ally Financial Inc. [ALLY] | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
| (Last) | (First) (Mi | | 3. Date of Earliest Transaction | | | | | | | |
| 200 RENAI | | (Month/Day/Year) 06/17/2016 | | | | Director10% Owner Officer (give titleOther (specify below) CEO & President, Ally Bank | | | | |
| | | | If Amendment, Date Original iled(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person | | | |
| DETROIT, MI 48265 — Form filed by More than One Reporting Person | | | | | | | porting | | | |
| (City) | (State) (Z | Zip) Tab | le I - Non-D | Derivative | Secur | ities Acq | uired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | · · · · | 2A. Deemed Execution Date, if any (Month/Day/Year) | Code (Instr. 8) | 4. Securi on(A) or D (Instr. 3, Amount | ispose | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Indirect Beneficial | |
| Common Stock (1) | 06/17/2016 | | М | 1,311 | А | \$0 | 153,632 | D | | |
| Common Stock | 06/17/2016 | | D | 1,311 | D | \$ 18.13 (2) | 152,321 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Pric Deriva Securi (Instr. |
|---|---|---|---|--|---|--|--------------------|---|--|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Deferred Stock Units (DSU) | <u>(3)</u> | 06/17/2016 | | М | 1,311 | (3) | (3) | Common Stock | 1,311 | \$ |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|----------------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Morais Diane E. 200 RENAISSANCE CENTER DETROIT, MI 48265 | | | CEO & President, Ally Bank | | | | |
| Signatures | | | | | | | |
| | | 2.6 | | | | | |

| /s/ Donna M. DiCicco, attorney-in-fact for Ms. Morais | 06/20/2016 | |
|--|------------|--|
| **Signature of Reporting Person | Date | |

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The common stock reported includes shares issued upon the settlement of a portion of DSUs awarded in prior periods.
- (2) Represents the per share fair market value of the Company's common stock as of June 8, 2016.
- Each Deferred Stock Unit (DSU) represents a vested right to receive the value of one share of the Company's common stock in cash equal
- (3) to the fair market value of a share of the Company's common stock. DSUs do not have an expiration or exercise date or carry a conversion price. May reflect rounding of fractional shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.