

AUTONATION, INC.
Form 4
September 06, 2012

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
FERRANDO JONATHAN P

2. Issuer Name and Ticker or Trading Symbol
AUTONATION, INC. [AN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
200 SW 1ST AVE, SUITE 1600

(Street)

3. Date of Earliest Transaction (Month/Day/Year)
09/04/2012

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
EVP, Gen Counsel & Secretary

FORT LAUDERDALE, FL 33301

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock, par value \$0.01 per share | 09/04/2012 | | M ⁽¹⁾ | | 15,000 | A | \$ 19.21 |
| | | | | | | | 48,000 ⁽²⁾ |
| Common Stock, par value \$0.01 per share | 09/04/2012 | | S ⁽¹⁾ | | 14,000 | D | \$ 40.79 |
| | | | | | | | 34,000 ⁽²⁾ |
| Common Stock, par value \$0.01 per share | 09/06/2012 | | M ⁽¹⁾ | | 15,000 | A | \$ 19.21 |
| | | | | | | | 49,000 ⁽²⁾ |

Edgar Filing: AUTONATION, INC. - Form 4

value
\$0.01 per
share

Common
Stock, par
value
\$0.01 per
share

09/06/2012

S⁽¹⁾

14,000 D

\$
41.79

35,000 ⁽²⁾

D

Common
Stock, par
value
\$0.01 per
share

1,767

I

By 401(k)
Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title Amount or Number of Shares |
| Employee Stock Option (right to buy) | \$ 19.21 | 09/04/2012 | | M ⁽¹⁾ | 15,000 | ⁽³⁾ 07/30/2017 | Common Stock, par value \$0.01 per share 15, |
| Employee Stock Option (right to buy) | \$ 41.16 | 09/04/2012 | | A | 30,270 | ⁽⁴⁾ 03/01/2022 | Common Stock, par value \$0.01 per share 30, |
| Employee Stock Option (right to buy) | \$ 19.21 | 09/06/2012 | | M ⁽¹⁾ | 15,000 | ⁽³⁾ 07/30/2017 | Common Stock, par value \$0.01 per share 15, |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|------------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| FERRANDO JONATHAN P 200 SW 1ST AVE SUITE 1600 FORT LAUDERDALE, FL 33301 | | | EVP, Gen Counsel & Secretary | |

Signatures

/s/ Jonathan P.
Ferrando

09/06/2012

**Signature of Reporting
Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction completed pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 9, 2012.
- (2) Includes 33,000 shares owned by the reporting person and his wife as tenants by the entirety.
- (3) The option became exercisable in 25% annual increments on each of the first four anniversaries of July 30, 2007.
- (4) The option becomes exercisable in 25% annual increments on each of the first four anniversaries of June 1, 2012, subject to continuous employment with the Company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.