

EASTMAN KODAK CO
Form 4
August 06, 2007

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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2005
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(Print or Type Responses)

1. Name and Address of Reporting Person *
PEREZ ANTONIO M

(Last) (First) (Middle)

343 STATE STREET

(Street)

ROCHESTER, NY 14650

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
EASTMAN KODAK CO [EK]

3. Date of Earliest Transaction
(Month/Day/Year)
08/06/2007

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

President, Chairman & CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock			Code	V Amount (D) Price	170,044 ⁽¹⁾	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form
displays a currently valid OMB control
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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Ar Underlying Se (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	
Option (right to buy)	\$ 30.96							<u>(2)</u>	04/01/2013	common stock	
Option (right to buy)	\$ 24.49							<u>(3)</u>	11/18/2010	common stock	
Option (right to buy)	\$ 31.71							<u>(3)</u>	12/09/2011	Common Stock	
Option (right to buy)	\$ 26.47							<u>(3)</u>	05/31/2012	Common Stock	
Option (right to buy) <u>(4)</u>	\$ 24.75							<u>(3)</u>	12/06/2012	Common Stock	
Option (right to buy) <u>(4)</u>	\$ 25.88							<u>(3)</u>	12/11/2013	Common Stock	
Stock Units	<u>(5)</u>	07/16/2007		J	V	147.68 <u>(8)</u>		<u>(6)</u>	<u>(6)</u>	Common Stock	
Stock Units	<u>(5)</u>	07/16/2007		J	V	456.14 <u>(8)</u>		<u>(6)</u>	<u>(6)</u>	Common Stock	
Resticted Stock Units	<u>(5)</u>							<u>(6)</u>	<u>(6)</u>	common stock	
Stock Units <u>(10)</u>	<u>(5)</u>							<u>(6)</u>	<u>(6)</u>	Common Stock	
Restricted Stock Units <u>(11)</u>	<u>(5)</u>							12/31/2007 <u>(12)</u>	12/31/2007 <u>(12)</u>	Common stock	

Reporting Owners

Reporting Owner Name / Address	Relationships
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Director 10% Owner Officer Other

PEREZ ANTONIO M
343 STATE STREET
ROCHESTER, NY 14650

X

President, Chairman & CEO

Signatures

Laurence L. Hickey, as attorney-in-fact for Antonio M.
Perez

08/06/2007

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Some of these shares are restricted.
- (2) Employee stock option granted under the 1997 Stock Option Plan in a transaction exempt under Rule 16b-3. One-half of the options vest on the second anniversary of the date of grant; the balance vest on the fifth anniversary.
- (3) These options vest one-third on each of the first three anniversaries of the date of grant.
- (4) Stock option granted under the 2005 Omnibus Long-Term Compensation Plan.
- (5) These units convert on a one-to-one basis.
- (6) This date is not applicable to these units.
- (7) 25,000 of 50,000 RSUs lapsed. This total included dividend equivalents reported separately which were not restricted.
- (8) These units were credited to the reporting person's account as dividend equivalents.
- (9) Balance of RSUs that lapsed on 10-1-06
- (10) These units granted under the 2000 Omnibus Long-Term Compensation Plan; Leadership Stock Program, 2004-2005 cycle.
- (11) These units granted under the 2005 Omnibus Long-Term Compensation Plan; 2006 Executive Performance Share Program
- (12) This is the date these restricted stock units will vest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.