## Edgar Filing: UNITED GUARDIAN INC - Form 5

UNITED GUARDIAN INC
Form 5
January 06, 2015
FORM 5

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Check this box i no longer subjec	UNITED S	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number: Expires:	3235-0362 January 31, 2005	
to Section 16. Form 4 or Form 5 obligations may continue. See Instruction		ATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						Estimated average burden hours per response 1.0			
1(b).	Filed purs <sup>8</sup> Section 17(a	a) of the H	Public U	6(a) of the S tility Holdin vestment Co	g Company	y Act	of 19		1		
1. Name and Address of Reporting Person <u>*</u> Parker Betsee			2. Issuer Name <b>and</b> Ticker or Trading Symbol UNITED GUARDIAN INC [UG]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle)			3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2007					Director Officer (give t	X 10%		
C/O MILDRED JOHN S. MOSB							bel	low)	below)		
	4. If Amendment, Date Original Filed(Month/Day/Year)				6.	6. Individual or Joint/Group Reporting (check applicable line)					
UPPERVILLE,Å	VA 2018:	5						(_ Form Filed by C _ Form Filed by M rson			
(City)	(State)	(Zip)	Tabl	e I - Non-Deri	vative Secur	ities A	Acquir	ed, Disposed of,	, or Beneficiall	y Owned	
I.Title of Security2. Transaction Date (Month/Day/Year)2A. Deemo Execution any (Month/DaInstr. 3)any (Month/Da		Date, if	3. 4. Securities Acquire Transaction (A) or Disposed of (I Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Amount (D) Pr		f (D)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			

Common Stock par

\$.10

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

06/23/2007

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Persons who respond to the collection of information SEC 2270 contained in this form are not required to respond unless the form displays a currently valid OMB control number.

556,339

D

\$0

(1)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

556,339 A

W5

(9-02)

Â

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. of D S B O E I S F I S F i (I
				(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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## **Reporting Owners**

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
Parker Betsee C/O MILDRED F. SLATE 9005 JOHN S. MOSBY H UPPERVILLE, VA 20	Â	ÂX	Â	Â				
Signatures								
Dr. Betsee Parker	11/04/2014							
<u>**</u> Signature of	Date							

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were inherited by Dr. Betsee Parker on the death of her husband, Irwin W. Uran, on June 23, 2007. Dr. Parker was not advised with respect to the percentage of ownership or filing obligations until 2014.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Person