Stock Yards Bancorp, Inc.

Form 4 June 26, 2014

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

2. Issuer Name and Ticker or Trading

Stock Yards Bancorp, Inc. [SYBT]

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

information contained in this form are not

required to respond unless the form displays a currently valid OMB control

number.

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Symbol

1(b).

(Print or Type Responses)

**CROCE MICHAEL** 

1. Name and Address of Reporting Person \*

(First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify PO BOX 32890 06/24/2014 below) below) **Executive Vice President** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting LOUISVILLE, KY 40232-2890 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Ownership Indirect (Instr. 3) Code Disposed of (D) Beneficially Form: Direct Beneficial (Instr. 3, 4 and 5) (D) or Ownership (Month/Day/Year) (Instr. 8) Owned Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price Common 2,823.52 D Stock by Common 401k/ESOP -I 3,639.74 Stock fbo Michael Croce Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474

(9-02)

### Edgar Filing: Stock Yards Bancorp, Inc. - Form 4

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\it{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number conf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shares
Option (Right to Buy)	\$ 22.8095					12/14/2005	12/14/2014	Common Stock	3,15
Option (Right to Buy)	\$ 24.0667					01/17/2007	01/17/2016	Common Stock	3,15
Option (Right to Buy)	\$ 26.83					02/20/2008	02/20/2017	Common Stock	2,50
Stock Appreciation Right	\$ 23.37					02/19/2009	02/19/2018	Common Stock	1,55
Stock Appreciation Right	\$ 22.14					02/17/2010	02/17/2019	Common Stock	1,50
Stock Appreciation Right	\$ 21.03					02/16/2011	02/16/2020	Common Stock	1,50
Stock Appreciation Right	\$ 23.76					03/15/2012	03/15/2021	Common Stock	1,00
Stock Appreciation Right	\$ 22.86					02/20/2013	02/20/2022	Common Stock	1,000
Stock Appreciation Right	\$ 29.16	06/24/2014		A	2,160	06/24/2015	06/24/2024	Common Stock	2,16

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

CROCE MICHAEL Executive
PO BOX 32890 Vice
LOUISVILLE, KY 40232-2890 President

#### **Signatures**

//Michael Croce 06/26/2014

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3