

Chiminski John R
Form 4
October 03, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Chiminski John R

(Last) (First) (Middle)

C/O CATALENT, INC., 14
SCHOOLHOUSE ROAD

(Street)

SOMERSET, NJ 08873

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
Catalent, Inc. [CTLT]

3. Date of Earliest Transaction
(Month/Day/Year)
10/01/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

Chair, President & CEO

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	10/01/2018		M ⁽¹⁾		140,000	A	\$ 18.71
Common Stock	10/01/2018		F ⁽³⁾		93,500	D	\$ 45.32
Common Stock	10/01/2018		M ⁽¹⁾		41,464	A	\$ 20.5
Common Stock	10/01/2018		F ⁽³⁾		28,617	D	\$ 45.32
Common Stock	10/01/2018		M ⁽¹⁾		29,370	A	\$ 24.26

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Common Stock	10/01/2018	<u>F</u> ⁽³⁾	21,652	D	\$ 45.32	340,550 <u>(2)</u>	D
Common Stock	10/01/2018	<u>M</u> ⁽¹⁾	75,524	A	\$ 24.44	416,074 <u>(2)</u>	D
Common Stock	10/01/2018	<u>F</u> ⁽³⁾	55,839	D	\$ 45.32	360,235 <u>(2)</u>	D
Common Stock	10/01/2018	<u>S</u> ⁽¹⁾	130,545	D	\$ 45.32 <u>(4)</u>	229,690 <u>(2)</u>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount of Underlying Securities (Instr. 3 and 4)
Options to purchase Common Stock	\$ 18.71	10/01/2018		<u>M</u> ⁽¹⁾	140,000	<u>(5)</u> 06/25/2023	Common Stock 140,000
Options to purchase Common Stock	\$ 20.5	10/01/2018		<u>M</u> ⁽¹⁾	41,464	<u>(6)</u> 07/30/2024	Common Stock 41,464
Options to purchase Common Stock	\$ 24.26	10/01/2018		<u>M</u> ⁽¹⁾	29,370	<u>(7)</u> 10/23/2024	Common Stock 29,370
Options to purchase Common Stock	\$ 24.44	10/01/2018		<u>M</u> ⁽¹⁾	75,524	<u>(8)</u> 07/26/2026	Common Stock 75,524

