

Waterstone Financial, Inc.
Form 8-K
May 19, 2016

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM 8-K
CURRENT REPORT
Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): May 17, 2016

WATERSTONE FINANCIAL, INC.

(Exact name of Registrant as specified in its charter)

Maryland

(State or Other Jurisdiction
of Incorporation)

001-36271

(Commission File Number)

90-1026709

(I.R.S. Employer Identification No.)

11200 W. Plank Ct. Wauwatosa, Wisconsin 53226

(Address of principal executive offices)

(414) 761-1000

Registrant's telephone number, including area code

Not Applicable

(Former Name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.07 Submission of Matters to a Vote of Security Holders.

Waterstone Financial, Inc. (the "Company") held its 2016 Annual Meeting of Shareholders on May 17, 2016. The shareholders (i) elected three members of the Company's Board of Directors to serve until 2019; (ii) approved, in an advisory vote, the compensation of the Company's named executive officers; and (iii) ratified the selection of RSM US LLP as Waterstone Financial, Inc's independent registered public accounting firm. There were 29,162,473 outstanding shares eligible to vote as of March 23, 2016, the record date for the 2016 Annual Meeting. The results of the matters submitted to a vote at the Annual Meeting of Shareholders were as follows:

(1) Election of the below named nominees to the Board of Directors of Waterstone Financial, Inc.:

| <u>Nominee</u> | <u>Number of Votes For</u> | <u>Number of Votes Withheld</u> |
|-------------------|----------------------------|---------------------------------|
| Ellen S. Bartel | 19,686,333 | 777,714 |
| Thomas E. Dalum | 19,690,113 | 773,934 |
| Kristine A. Rappe | 19,960,908 | 503,139 |

(2) An advisory, non-binding resolution with respect to our executive compensation.

| <u>Number of Votes For</u> | <u>Number of Votes Against</u> | <u>Abstain</u> |
|----------------------------|--------------------------------|----------------|
| 17,087,309 | 3,323,296 | 57,242 |

(3) Ratification of the selection of RSM US LLP as the Company's auditors for the year ending December 31, 2016.

| <u>Number of Votes For</u> | <u>Number of Votes Against</u> | <u>Abstain</u> |
|----------------------------|--------------------------------|----------------|
| 25,560,794 | 418,749 | 86,371 |

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Waterstone Financial, Inc.

Date: May 19, 2016 /s/ William F. Bruss
Name: William F. Bruss
Title: COO, General Counsel and Secretary