## Edgar Filing: Hollub Vicki A. - Form 4

| Hollub Vicki<br>Form 4  |                                  |   |   |   |   |                                |                      |   |   |                           |  |
|---|----------------------------------|---|---|---|---|--------------------------------|----------------------|---|---|---------------------------|--|
| March 04, 20  |                                  |   |   |   |   |                                |                      |   |   |                           |  |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549                    |                                  |   |   |   |   |                                |                      | OMB<br>OMB<br>Number:   | PROVAL<br>3235-0287   |                           |  |
| Check th<br>if no long<br>subject to<br>Section 1<br>Form 4 o<br>Form 5<br>obligation<br>may cont | F CHAN<br>Section 1<br>Public Ut | IGES IN<br>SECUR<br>6(a) of the<br>tility Hole  | <b>BENEFI</b><br>ITIES<br>e Securit<br>ling Corr  | NERSHIP OF<br>e Act of 1934,<br>1935 or Section | January 31,<br>Expires: 2005<br>Estimated average<br>burden hours per<br>response 0.5 |                                |                      |   |   |                           |  |
| See Instru<br>1(b).   |                                  | 30(h)   | ) of the In   | vestment  | Compan  | y Aci                          | t of 194             | 0   |   |                           |  |
| (Print or Type I  | Responses)                       |   |   |   |   |                                |                      |   |   |                           |  |
| 1. Name and Address of Reporting Person <u>*</u><br>Hollub Vicki A.                               |                                  |   | 2. Issuer Name and Ticker or Trading<br>Symbol<br>OCCIDENTAL PETROLEUM<br>CORP /DE/ [OXY] |   |   |                                |                      | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)   |   |                           |  |
| (Last) (First) (Middle)<br>OCCIDENTAL PETROLEUM<br>CORPORATION, 5 GREENWAY<br>PLAZA, STE. 110     |                                  |   | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>02/28/2019                         |   |   |                                |                      | X Director 10% Owner<br>X Officer (give title Other (specify<br>below)<br>President and CEO   |   |                           |  |
|   | (Street)                         |   | 4. If Amendment, Date Original Filed(Month/Day/Year)                                      |   |   |                                |                      | <ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul> |   |                           |  |
| HOUSTON   | , TX 77046                       |   |   |   |   |                                |                      | Person  | lore than One Re  | porting                   |  |
| (City)  | (State)                          | (Zip)   | Tabl  | e I - Non-D                                     | erivative S   | Securi                         | ities Acq            | uired, Disposed of  | , or Beneficial   | ly Owned                  |  |
| 1.Title of<br>Security<br>(Instr. 3)  |                                  | ransaction Date 2A. Deemed<br>nth/Day/Year) Execution Date, if<br>any<br>(Month/Day/Year) |   |   | (Instr. 3, 4  | sposed<br>4 and 5<br>(A)<br>or | l of (D)<br>5)       | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)  | 6.<br>Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |                           |  |
| Common<br>Stock   | 02/28/2019                       |   |   | Code V $F^{(1)}$                                | Amount 13,608   | (D)<br>D                       | Price<br>\$<br>66.15 | 210,866   | D   |                           |  |
| Common<br>Stock   |                                  |   |   |   |   |                                |                      | 18,517 <u>(2)</u>   | I   | By OPC<br>Savings<br>Plan |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)<br>Repoi | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code<br>(Instr. 8 | <ul> <li>5.</li> <li>ctionNumber of</li> <li>Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)</li> <li>V (A) (D)</li> </ul> |             | Date    | Amou<br>Unde<br>Secur<br>(Instr | Amount or | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|--|---|---|---|-------------------|---|-------------|---------|---------------------------------|-----------|---|--|
|  | Reporting O   | Owner Name / Address                    |   | Relationships     |   |             |         |                                 |           |   |  |
| Hollub Vicki A.  |   | Dir                                     | rector 10   | )% Owner          | Officer   |             | Other   | r                               |           |   |  |
| OCCIDE<br>5 GREEN  | NTAL PET  | ROLEUM CORP<br>ZA, STE. 110<br>46       | ORATION   | Х                 |   | President a | and CEO |                                 |           |   |  |
| Signa  | tures   |   |   |                   |   |             |         |                                 |           |   |  |

03/04/2019

Date

| Signatures  |  |
|---|--|
| /s/ Jenarae N. Garland, Attorney-in-Fact for Vicki A. |  |
| Hollub  |  |

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of common stock withheld to satisfy tax withholding obligations upon the vesting of previously reported restricted stock units.
- (2) Based on a plan statement dated February 28, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.