#### SALVADOR SCOT R

Form 4

November 20, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response...

Estimated average

See Instruction

30(h) of the Investment Company Act of 1940

0.5

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

(Street)

(State)

2. Issuer Name and Ticker or Trading

Issuer

SALVADOR SCOT R

Symbol TRUSTCO BANK CORP N Y 5. Relationship of Reporting Person(s) to

[TRST]

(Last)

(City)

(Middle)

(Zip)

3. Date of Earliest Transaction

Director 10% Owner

(Check all applicable)

EVP & Chief Banking Officer

(Month/Day/Year)

X\_ Officer (give title Other (specify below)

C/O TRUSTCO BANK CORP NY, 5 11/17/2018

SARNOWSKI DRIVE

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

GLENVILLE, NY 12302

		Tubic I	11011 201	i valive se		cs rrequ	irea, Disposea oi	, or beneficial	y o which
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi	ties A	cquired	5. Amount of	6.	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	ransaction(A) or Disposed of			Securities	Ownership	Indirect
(Instr. 3)		any	Code	(D)			Beneficially	Form: Direct	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3,	4 and	5)	Owned	(D) or	Ownership
		•					Following	Indirect (I)	(Instr. 4)
							Reported	(Instr. 4)	
					(A)		Transaction(s)		
			G 1 17		or	ъ.	(Instr. 3 and 4)		
			Code V	Amount	(D)	Price			
COMMON	11/17/2018		M	9,250	Α	\$ 0	180,488	D	
STOCK	11/1//2016		171	(1)	А	ψÜ	100,400	D	
COMMON	11/17/2018		D	9,250	D	\$	171,238	D	
STOCK	11/1//2010		D	(1)	ט	7.83	1/1,230	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: SALVADOR SCOT R - Form 4

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
	Derivative Security			(A) or Disposed of (D) (Instr. 3, 4, and 5)					
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shares
RESTRICTED STOCK UNIT	\$ 0 (2)	11/17/2018		M	9,250	(3)	(3)	COMMON STOCK	9,25

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

SALVADOR SCOT R C/O TRUSTCO BANK CORP NY **5 SARNOWSKI DRIVE** GLENVILLE, NY 12302

**EVP & Chief Banking Officer** 

# **Signatures**

/S/ MICHAEL M. OZIMEK, BY POWER OF **ATTORNEY** 

11/20/2018

Date

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Reflects cash settlement of 9,250 restricted stock units that settled only for cash. **(1)**
- Each restricted stock unit represents a contingent right to receive a payment in cash equal to the value of one share of Issuer Common Stock on the settlement date.
- (3) The restricted stock units "vested" in whole November 17, 2018 and were settled in cash.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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