#### Edgar Filing: HARRINGTON BARBARA J - Form 4

#### HARRINGTON BARBARA J

Form 4

October 29, 2018

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005 Estimated average

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

burden hours per

1(b).

(Print or Type Responses)

					<b>d</b> Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer				
					CORP /NV/ [BUSE]	(Check all applicable)				
	(Last)	(First) (N		3. Date of Earliest Transaction		100.0				
100 WEST UNIVERSITY AVENUE				nth/Day/Year) 30/2018		DirectorX Officer (gi below)	ve title 0t below) & Chief Risk Of	her (specify		
		(0,)								
(Street)				Amendment, D	ate Original	6. Individual or Joint/Group Filing(Check				
				d(Month/Day/Yea	nr)	Applicable Line)				
						_X_ Form filed by One Reporting Person				
CHAMPAIGN, IL 61820					Form filed by More than One Reporting Person					
	(City)	(State)	(Zip)	Table I - Non-	Derivative Securities Ac	quired, Disposed	of, or Beneficia	ally Owned		
	1.Title of	2. Transaction Date	e 2A. Deemed	3.	4. Securities	5. Amount of	6. Ownership	7. Nature of		
	Security	(Month/Day/Year)	Execution Dat	e, if Transact	ionAcquired (A) or	Securities	Form: Direct	Indirect		
	(Instr. 3)		any	Code	Disposed of (D)	Beneficially	(D) or	Beneficial		
			(Month/Day/Y	(Instr. 8)		Owned	Indirect (I)	Ownership		
			•		•	Following	(Instr. 4)	(Instr. 4)		

							• •	*	•
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	* ` ′		)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
_			(A) or Code V Amount (D) Pr		Price	Reported Transaction(s) (Instr. 3 and 4)			
Common Stock	10/26/2018		A	215 (1)	A	\$0	49,644	D	
Common Stock	09/30/2018		P	75 <u>(2)</u>	A	\$0	2,180	I	Employee Stock Purchase Plan
Common Stock							5,459	I	401(k) & P/S
Common Stock							2,223	I	ESOP Plan
							1,499	I	IRA

#### Edgar Filing: HARRINGTON BARBARA J - Form 4

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	<b>.</b>	ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

HARRINGTON BARBARA J 100 WEST UNIVERSITY AVENUE CHAMPAIGN, IL 61820

**EVP & Chief Risk Officer** 

### **Signatures**

/s/ Mary Lakey, attorney-in-fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents dividend equivalent rights accrued on Restricted Stock Units in conjunction with the payment of a cash dividend on First Busey Corporation Stock. Each dividend equivalent right is the economic equivalent of one share of First Busey Corporation Stock.
- (2) Reflects the purchase of 62 shares and 13 accumulated dividend reinvestment shares through the Company Employee Stock Purchase Plan since the Reporting Person's most recent ownership report.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

## Edgar Filing: HARRINGTON BARBARA J - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.