

WOLTZ H O III
Form 5
October 09, 2018

FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
WOLTZ H O III

(Last) (First) (Middle)

1373 BOGGS DRIVE

(Street)

MOUNT AIRY, NC 27030

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
INSTEEL INDUSTRIES INC [IIN]

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
08/21/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman, President and CEO

6. Individual or Joint/Group Reporting

(check applicable line)

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	Price	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/21/2018	^	G	1,650	D	\$ 0	494,023	D	^
Common Stock	08/22/2018	^	G	200	D	\$ 0	493,823	D	^
Common Stock (Restricted Stock Units)	^	^	^	^	^	^	28,902	D	^
	^	^	^	^	^	^	113,328	I	

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Common Stock										Co-trustee of Trusts created by Estate of Howard O. Woltz, Jr.
Common Stock	Â	Â	Â	Â	Â	Â	54,798	I		Trustee of trusts for the benefit of children of H. O. Woltz III
Common Stock	Â	Â	Â	Â	Â	Â	61,222	I		co-trustee of Woltz Foundation

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (Right to Buy)	\$ 41.85	Â	Â	Â	Â (A) Â (D)	Â (1) 08/13/2028	Common Stock	10,534
Stock Option (Right to Buy)	\$ 34.49	Â	Â	Â	Â (A) Â (D)	Â (1) 08/11/2026	Common Stock	8,643
Stock Option (Right to Buy)	\$ 18.05	Â	Â	Â	Â (A) Â (D)	Â (1) 08/17/2025	Common Stock	7,657

Stock Option (Right to Buy)	\$ 23.95	Â	Â	Â	Â	Â	Â	Â (1)	02/11/2026	Common Stock	5,754
Stock Option (Right to Buy)	\$ 29.69	Â	Â	Â	Â	Â	Â	Â (1)	02/13/2028	Common Stock	14,340
Common Stock (Right to Buy)	\$ 37.06	Â	Â	Â	Â	Â	Â	Â (1)	02/07/2027	Common Stock	10,981
Stock Option (Right to Buy)	\$ 26.75	Â	Â	Â	Â	Â	Â	Â (1)	08/07/2027	Common Stock	16,094

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
WOLTZ H O III 1373 BOGGS DRIVE MOUNT AIRY, NC 27030	Â X	Â	Â Chairman, President and CEO	Â

Signatures

James F. Petelle for H. O. Woltz III
10/09/2018

Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Options vest 1/3 annually beginning one year from grant date.

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