Paccasassi Robert Form 3 August 31, 2018

### FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

(Last)

À Paccasassi Robert

(First) (Middle)

Statement

(Month/Day/Year)

08/21/2018

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

BioRestorative Therapies, Inc. [BRTX]

4. Relationship of Reporting Person(s) to Issuer

Director

5. If Amendment, Date Original

Filed(Month/Day/Year)

40 MARCUS DRIVE, SUITE 1

(Street)

(Check all applicable)

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

\_X\_\_ Officer (give title below) (specify below) Form filed by More than One VP, Quality and Compliance

10% Owner

Other

Reporting Person

MELVILLE. NYÂ 11747

(City) (State)

1. Title of Security

(Instr. 4)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

Form:

Ownership

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

4.

Price of

Derivative

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

Securities Underlying Derivative Security (Instr. 4)

3. Title and Amount of

5. Conversion or Exercise

Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Expiration Date Exercisable Date

Title

Amount or Number of Shares

Security Direct (D) or Indirect (I) (Instr. 5)

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#### Edgar Filing: Paccasassi Robert - Form 3

Stock Option	(1)	08/13/2025	Common Stock	5,000	\$ 4.7	D	Â
Stock Option	(2)	06/10/2026	Common Stock	15,000	\$ 3.73	D	Â
Stock Option	(3)	07/12/2027	Common Stock	40,000	\$ 2.8	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Paccasassi Robert 40 MARCUS DRIVE SUITE 1 MELVILLE, NY 11747	Â	Â	VP, Quality and Compliance	Â	

## **Signatures**

/s/ Robert
Paccasassi

\*\*Signature of Reporting Person

08/31/2018

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The option is exercisable to the extent of 1,667 shares on each of August 13, 2016 and August 13, 2017, and 1,666 shares on August 13, 2018.
- (2) The option is exercisable to the extent of 5,000 shares on each of June 10, 2017, June 10, 2018 and June 10, 2019.
- (3) The option is exercisable to the extent of 13,334 shares on July 12, 2018, and 13,333 shares on each of July 12, 2019 and July 12, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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