

Barna James
Form 4
February 22, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Barna James

(Last) (First) (Middle)

6060 PARKLAND BLVD., SUITE
250

(Street)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
FERRO CORP [FOE]

3. Date of Earliest Transaction
(Month/Day/Year)
02/20/2019

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____ Officer (give title below) ____ Other (specify below)

Chief Accounting Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock			Code V	Amount (D)	Price	6,737	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Performance Share Unit	\$ 0	02/20/2019		A	4,100	<u>(1)</u>	12/31/2021	Common Stock	4,100
Restricted Stock Unit	\$ 0	02/20/2019		A	1,700	02/20/2022	02/20/2022	Common Stock	1,700
Stock Options (Right to Buy)	\$ 17.89	02/20/2019		A	6,400	<u>(2)</u>	02/20/2029	Common Stock	6,400
Performance Share Unit	\$ 0					<u>(1)</u>	12/31/2018	Common Stock	3,700
Performance Share Unit	\$ 0					<u>(1)</u>	12/31/2019	Common Stock	3,500
Performance Share Unit	\$ 0					<u>(1)</u>	12/31/2020	Common Stock	2,300
Phantom Shares	\$ 0 <u>(3)</u>					<u>(3)</u>	<u>(3)</u>	Common Stock	2,477
Restricted Share Unit	\$ 0					02/15/2020	02/15/2020	Common Stock	1,400
Restricted Share Unit	\$ 0					02/21/2021	02/21/2021	Common Stock	900
Stock Options (Right to Buy)	\$ 22.01					<u>(4)</u>	02/21/2028	Common Stock	3,000
Stock Options (Right to Buy)	\$ 14.27					02/15/2018	02/15/2027	Common Stock	4,100
Stock Options (Right to Buy)	\$ 12.33					02/18/2016	02/18/2025	Common Stock	800
Stock Options	\$ 9.6					02/17/2017	02/17/2026	Common Stock	3,600

(Right to
Buy)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Barna James 6060 PARKLAND BLVD. SUITE 250 MAYFIELD HEIGHTS, OH 44124			Chief Accounting Officer	

Signatures

/s/ Benjamin Schlater, Group Vice President and Chief Financial Officer, by Power of Attorney

02/22/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Performance Share Units granted as a performance award, vesting based upon degree of achievement of performance goal. At the end of
- (1) the performance period, 50% of award is paid in common shares free of restrictions, and 50% is paid in cash. If the final amount is less than 100% of the share units, the balance is forfeited to the company.
 - (2) 33+% per year for 3 years beginning on 20-Feb-2020
 - (3) Represent phantom shares awarded under the Company's Supplemental Defined Contribution Plan for Executive Employees.
 - (4) 33+% per year for 3 years beginning on 21-Feb-2019

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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