Barna James Form 4 February 22, 2019

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

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Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).
(Print or Type Responses)

1. Name and Address of Reporting Person * Barna James			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
			FERRO CORP [FOE]	(Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction			
			(Month/Day/Year)	Director 10% Owner		
6060 PARKLAND BLVD., SUITE 250			02/20/2019	Officer (give title Other (specification) below)		
230				Chief Accounting Officer		
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person		
MAYFIELD HEIGHTS, OH 44124				Form filed by More than One Reporting Person		

(City)	(State)	(Zip) Ta	ble I - Nor	n-Derivative Securities	Acquired, Disposed	of, or Beneficia	lly Owned
1.Title of	2. Transaction D	ate 2A. Deemed	3.	4. Securities	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Yea	r) Execution Date	if Transa	actionAcquired (A) or	Securities	Form: Direct	Indirect

Disposed of (D) (Instr. 3) Code Beneficially (D) or Beneficial anv (Instr. 3, 4 and 5) Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Owned Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s)

Code V Amount (D) Price (Instr. 3 and 4)

Common Stock 6,737 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

## Edgar Filing: Barna James - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	Securities	ve Expiration Dat (Month/Day/Y	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (I	Date Exercisable	Expiration Date	Title	Amoun Numbe Shares	
Performance Share Unit	\$ 0	02/20/2019		A	4,100	<u>(1)</u>	12/31/2021	Common Stock	4,1	
Restricted Stock Unit	\$ 0	02/20/2019		A	1,700	02/20/2022	02/20/2022	Common Stock	1,7	
Stock Options (Right to Buy)	\$ 17.89	02/20/2019		A	6,400	<u>(2)</u>	02/20/2029	Common Stock	6,4	
Performance Share Unit	\$ 0					<u>(1)</u>	12/31/2018	Common Stock	3,7	
Performance Share Unit	\$ 0					<u>(1)</u>	12/31/2019	Common Stock	3,5	
Performance Share Unit	\$ 0					<u>(1)</u>	12/31/2020	Common Stock	2,3	
Phantom Shares	\$ 0 (3)					(3)	(3)	Common Stock	2,477	
Restricted Share Unit	\$ 0					02/15/2020	02/15/2020	Common Stock	1,4	
Restricted Share Unit	\$ 0					02/21/2021	02/21/2021	Common Stock	90	
Stock Options (Right to Buy)	\$ 22.01					<u>(4)</u>	02/21/2028	Common Stock	3,0	
Stock Options (Right to Buy)	\$ 14.27					02/15/2018	02/15/2027	Common Stock	4,1	
Stock Options (Right to Buy)	\$ 12.33					02/18/2016	02/18/2025	Common Stock	81	
Stock Options	\$ 9.6					02/17/2017	02/17/2026	Common Stock	3,6	

(Right to Buy)

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Barna James 6060 PARKLAND BLVD. SUITE 250 MAYFIELD HEIGHTS, OH 44124

Chief Accounting Officer

### **Signatures**

/s/ Benjamin Schlater, Group Vice President and Chief Financial Officer, by Power of Attorney

02/22/2019

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Performance Share Units granted as a performance award, vesting based upon degree of achievement of performance goal. At the end of (1) the performance period, 50% of award is paid in common shares free of restrictions, and 50% is paid in cash. If the final amount is less than 100% of the share units, the balance is forfeited to the company.
- (2) 33+% per year for 3 years beginning on 20-Feb-2020
- (3) Represent phantom shares awarded under the Company's Supplemental Defined Contribution Plan for Executive Employees.
- (4) 33+% per year for 3 years beginning on 21-Feb-2019

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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