Thomas Peter T Form 4 April 26, 2018

## FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

January 31, Expires: 2005

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Thomas Peter T Issuer Symbol FERRO CORP [FOE] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Other (specify Officer (give title C/O FERRO CORPORATION, 6060 04/24/2018 below) PARKLAND BOULEVARD Chairman, President and CEO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

#### MAYFIELD HEIGHTS, OH 44124

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative	Secui	rities Acq	uired, Disposed	of, or Benefici	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securit or(A) or Dis (Instr. 3, 4	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/24/2018		M	17,480	A	<u>(1)</u>	501,200	D	
Common Stock	04/24/2018		F	7,080	D	\$ 24.18	494,120	D	
Common Stock							1,172.797	I	Investment Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	e Securities (M		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securiti (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou Numb Share
Restricted Share Unit	\$ 0	04/24/2018		M		17,480	04/24/2016	04/24/2016	Common Stock	1
Performance Share Unit	\$ 0						<u>(2)</u>	12/31/2018	Common Stock	1.
Performance Share Unit	\$ 0						(2)	12/31/2019	Common Stock	1
Performance Share Unit	\$0						(2)	12/31/2020	Common Stock	$\epsilon$
Phantom Shares	<u>(3)</u>						(3)	(3)	Common Stock	117,
Restricted Share Unit	\$ 0						02/20/2017	02/20/2017	Common Stock	4
Restricted Share Unit	\$ 0						02/18/2018	02/18/2018	Common Stock	4
Restricted Share Unit	\$ 0						02/17/2019	02/17/2019	Common Stock	$\epsilon$
Restricted Share Unit	\$ 0						02/15/2020	02/15/2020	Common Stock	4
Restricted Share Unit	\$ 0						02/21/2021	02/21/2021	Common Stock	2
Stock Options (Right to Buy)	\$ 22.01						<u>(4)</u>	02/21/2028	Common Stock	8
Stock Options (Right to Buy)	\$ 15.16						02/24/2012	02/24/2021	Common Stock	3
	\$ 14.27						02/15/2018	02/15/2027		1

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Stock Options (Right to Buy)				Common Stock	
Stock Options (Right to Buy)	\$ 13.09	02/20/2015	02/20/2024	Common Stock	
Stock Options (Right to Buy)	\$ 12.33	02/18/2016	02/18/2025	Common Stock	1
Stock Options (Right to Buy)	\$ 9.6	02/17/2017	02/17/2026	Common Stock	1
Stock Options (Right to Buy)	\$ 8.25	02/25/2011	02/25/2020	Common Stock	
Stock Options (Right to Buy)	\$ 7.02	04/24/2014	04/24/2023	Common Stock	
Stock Options (Right to Buy)	\$ 6.84	02/23/2013	02/23/2022	Common Stock	
Stock Options (Right to Buy)	\$ 5.29	02/21/2014	02/21/2023	Common Stock	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Thomas Peter T C/O FERRO CORPORATION 6060 PARKLAND BOULEVARD MAYFIELD HEIGHTS, OH 44124			Chairman, President and CEO				

Reporting Owners 3

Date

## **Signatures**

/s/ Richard Shuttie, Treasurer, by Power of Attorney 04/26/2018

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted share units convert into Common Stock on a one-to-one basis.
  - Performance Share Units granted as a performance award, vesting based upon degree of achievement of performance goal. At the end of
- (2) the performance period, 50% of award is paid in common shares free of restrictions, and 50% is paid in cash. If the final amount is less than 100% of the share units, the balance is forfeited to the company.
- (3) Represent phantom shares awarded under the Company's Supplemental Defined Contribution Plan for Executive Employees.
- (4) 33+% per year for 3 years beginning on 21-Feb-2019

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4