

Duesenberg Mark Hugo
Form 4
January 03, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Duesenberg Mark Hugo

(Last) (First) (Middle)

6060 PARKLAND BOULEVARD

(Street)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

FERRO CORP [FOE]

3. Date of Earliest Transaction
(Month/Day/Year)

12/29/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____ Officer (give title below) ____ Other (specify
below)

General Counsel

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	12/29/2017		G		1,000	D	129,545
							D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form
displays a currently valid OMB control
number.**

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: Duesenberg Mark Hugo - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount Number Shares
Performance Share Unit	\$ 0					(2) 12/31/2017	Common Stock 21,7
Performance Share Unit	\$ 0					(2) 12/31/2018	Common Stock 27,7
Performance Share Unit	\$ 0					(2) 12/31/2019	Common Stock 18,1
Phantom Shares	(3)					(3) (3)	Common Stock 30,631
Restricted Share Unit	\$ 0					02/23/2016(4) 02/23/2016	Common Stock 27,3
Restricted Share Unit	\$ 0					02/20/2017(4) 02/20/2017	Common Stock 8,1
Restricted Share Unit	\$ 0					02/18/2018(4) 02/18/2018	Common Stock 8,7
Restricted Share Unit	\$ 0					02/17/2019(4) 02/17/2019	Common Stock 11,1
Restricted Share Unit	\$ 0					02/15/2020(4) 02/15/2020	Common Stock 7,2
Stock Options (Right to Buy)	\$ 21.28					09/17/2009 09/17/2018	Common Stock 17,0
Stock Options (Right to Buy)	\$ 15.16					02/24/2012 02/24/2021	Common Stock 32,3
Stock Options (Right to Buy)	\$ 14.27					02/15/2018 02/15/2027	Common Stock 21,0
Stock Options	\$ 13.09					02/20/2015 02/20/2024	Common Stock 16,7

(Right to Buy)

Stock

Options
(Right to Buy)

\$ 12.33

02/18/2016

02/18/2025

Common
Stock

18,8

Stock

Options
(Right to Buy)

\$ 9.6

02/17/2017

02/17/2026

Common
Stock

32,5

Stock

Options
(Right to Buy)

\$ 8.25

02/25/2011

02/25/2020

Common
Stock

35,0

Stock

Options
(Right to Buy)

\$ 6.84

02/23/2013

02/23/2022

Common
Stock

36,1

Stock

Options
(Right to Buy)

\$ 5.29

02/21/2014

02/21/2023

Common
Stock

57,3

Stock

Options
(Right to Buy)

\$ 1.37

02/25/2010

02/25/2019

Common
Stock

35,0

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Duesenberg Mark Hugo 6060 PARKLAND BOULEVARD MAYFIELD HEIGHTS, OH 44124			General Counsel	

Signatures

/s/ Richard Shuttie, Treasurer, by Power of Attorney

01/03/2018

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares gifted by Mark H. Duesenberg to Schwab Charitable Gift Fund.

(2)

Edgar Filing: Duesenberg Mark Hugo - Form 4

Performance Share Units granted as a performance award, vesting based upon degree of achievement of performance goal. At the end of the performance period, 50% of award is paid in common shares free of restrictions, and 50% is paid in cash. If the final amount is less than 100% of the share units, the balance is forfeited to the company.

- (3) Represent phantom shares awarded under the Company's Supplemental Defined Contribution Plan for Executive Employees.
- (4) The date reflected above as the Date Exercisable is the scheduled vesting date of the Restricted Share Units. Once vested, settlement of the Restricted Share Units and delivery of common shares is subject to an additional two-year holding period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.