

Chamberlain Kittridge  
Form 4  
December 08, 2017

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Chamberlain Kittridge

2. Issuer Name and Ticker or Trading Symbol  
PACIFIC MERCANTILE BANCORP [PMBC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
12/06/2017

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_\_ Other (specify below)  
EVP Corporate Finance

949 SOUTH COAST DRIVE, THIRD FLOOR

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

COSTA MESA, CA 92626

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				(A) or (D)	Price			
				Code	V	Amount		
Common Stock	12/08/2017		M		1,396	A	\$ 6.24 18,362	D
Common Stock	12/08/2017		M		2,005	A	\$ 6.18 20,367	D
Common Stock	12/08/2017		S		946	D	\$ 9.1426 19,421	D
Common Stock	12/08/2017		S		1,345	D	\$ 9.1426 18,076	D
Common Stock	12/06/2017		M		3,401	A	\$ 6.24 18,397	D

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Common Stock	12/06/2017	S	2,254	D	\$ 9.7	16,143	D
Common Stock	12/07/2017	M	3,401	A	\$ 7.1	19,544	D
Common Stock	12/07/2017	S	2,578	D	\$ <u>(1)</u> 9,5806	16,966	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Common Stock Option	\$ 6.24	12/08/2017		M	1,396	<u>(2)</u> 09/03/2023	Common Stock	1,396
Common Stock Option	\$ 6.18	12/08/2017		M	2,005	<u>(3)</u> 03/07/2024	Common Stock	2,005
Common Stock Option	\$ 6.24	12/06/2017		M	3,401	<u>(2)</u> 09/03/2023	Common Stock	3,401
Common Stock Option	\$ 7.1	12/07/2017		M	3,401	<u>(4)</u> 02/17/2025	Common Stock	3,401

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Chamberlain Kittridge  
949 SOUTH COAST DRIVE  
THIRD FLOOR  
COSTA MESA, CA 92626

EVP Corporate Finance

## Signatures

/s/ Nancy Gray, attorney in fact for KITTRIDGE M  
CHAMBERLAIN

12/08/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was executed in multiple trades at prices ranging from \$9.55 USD to \$9.65 USD; the price reported above reflects the weighted average sale price.
- (2) 3 Years Annually beginning on 03-Sep-2013
- (3) 5 Years Annually beginning on 07-Mar-2014
- (4) 3 Years Annually beginning on 17-Feb-2015

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.