## Edgar Filing: PACIFIC MERCANTILE BANCORP - Form 4

PACIFIC ME Form 4 February 17,	ERCANTILE BAN 2017	NCORP								
FORM	1								PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287	
Check this if no longe	er							Expires:	January 31,	
subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP O SECURITIES						NERSHIP OF	Estimated a burden hou	0		
Form 4 or		~ .		~	_			response	•	
Form 5 obligation may conti <i>See</i> Instru- 1(b).	nue. Section 17(a)	uant to Section 1 ) of the Public U 30(h) of the In	tility Hold	ing Com	pany	Act o	of 1935 or Sectio	n		
(Print or Type R	esponses)									
I			2. Issuer Name <b>and</b> Ticker or Trading Symbol PACIFIC MERCANTILE BANCORP [PMBC]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (Mi		•	-			Director	100	6 Owner	
(N			3. Date of Earliest Transaction (Month/Day/Year) 02/15/2017				Officer (give titleOther (specify below) below)			
(Street)			4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check				
COSTA ME	d(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State) (Z	Zip) Tah	le I - Non-Do	erivative S	lecuri	ties Ac	quired, Disposed of	f. or Beneficial	llv Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed	3. Transactic Code	4. Securi onAcquired Disposed (Instr. 3,	ties l (A) o l of (D	er P)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of	
C			Code V	Amount	(D)	Price	(mout 5 and 4)			
Common Stock	02/15/2017		А	3,750	А	<u>(1)</u>	12,331	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. oriNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	1		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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## **Reporting Owners**

Reporting Owner Name / Addre	ess	Relationships							
		10% Owner	Officer	Other					
Yost Stephen P 949 SOUTH COAST DRIV THIRD FLOOR COSTA MESA, CA 92626	E								
Signatures									
/s/ Robert E. Sjogren	02/17/2017								
<u>**</u> Signature of	Date								

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares granted pursuant to restricted stock agreement, vesting over 12 months.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.