#### PACIFIC MERCANTILE BANCORP

Form 4

March 14, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading THOMAS JOHN MD Issuer Symbol PACIFIC MERCANTILE (Check all applicable) BANCORP [PMBC] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify Officer (give title (Month/Day/Year) below) 949 SOUTH COAST 03/16/2016 DRIVE, THIRD FLOOR (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

#### COSTA MESA, CA 92626

(State)

(Zip)

(City)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

		140	1011	Dellyanie	occui	ines riequi	rea, Disposea or	, or Deficile	iy Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Insaction Date 2A. Deemed 3. 4. Securities Acquired (A th/Day/Year) Execution Date, if any Code (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)  (A) or				(D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	03/14/2016		S	100	D	\$ 7.11	100	D	
Common Stock	03/14/2016		S	228	D	\$ 7.0806	228	D	
Common Stock	03/14/2016		S	100	D	\$ 7.0805	100	D	
Common Stock	03/14/2016		S	59	D	\$ 7.07	59	D	
Common Stock	03/14/2016		S	100	D	\$ 7.0508	100	D	

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Common Stock	03/14/2016	S	800	D	\$ 7.031	800	D
Common Stock	03/14/2016	S	100	D	\$ 7.02	100	D
Common Stock	03/14/2016	S	300	D	\$ 7.0112	300	D
Common Stock	03/14/2016	S	100	D	\$ 7.02	100	D
Common Stock	03/14/2016	S	100	D	\$ 7.0112	100	D
Common Stock	03/14/2016	S	200	D	\$ 6.98	200	D
Common Stock	03/14/2016	S	100	D	\$ 6.9617	100	D
Common Stock	03/14/2016	S	200	D	\$ 7	200	D
Common Stock	03/14/2016	S	100	D	\$ 6.98	100	D
Common Stock	03/14/2016	S	100	D	\$ 6.96	100	D
Common Stock	03/14/2016	S	145	D	\$ 6.9517	145	D
Common Stock	03/14/2016	S	14,800	D	\$ 7	14,800	D
Common Stock	03/14/2016	S	9,668	D	\$ 6.95	9,668	D
Common Stock	03/16/2016	S	200	D	\$ 7.016	200	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orNumber	Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	Securities	(Instr. 5)	Bene
	Derivative				Securities	S	(Instr. 3 and 4)		Own
	Security				Acquired				Follo
					(A) or				Repo

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Disposed of (D) (Instr. 3, 4, and 5)

Code V (A) (D) Date Expiration Title Amount Exercisable

Date

Number of Shares

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

THOMAS JOHN MD 949 SOUTH COAST DRIVE THIRD FLOOR COSTA MESA, CA 92626

## **Signatures**

/s/ Robert E. 03/14/2016 Sjogren

\*\*Signature of Date Reporting Person

**Explanation of Responses:** 

### If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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