#### NEWLINK GENETICS CORP

Form SC 13G/A February 17, 2015

#### SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, DC 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934

(Amendment No. 3)\*

**NewLink Genetics Corporation** 

(Name of Issuer)

Common Stock

(Title of Class of Securities)

651511 107

(CUSIP Number)

December 31, 2014

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- " Rule 13d-1(b)
- " Rule 13d-1(c)
- ý Rule 13d-1(d)

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

<sup>\*</sup>The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

CUSIP  1.	No. 651511 107 Names of Report Charles J. Link,		Page 2 of 5 Pages
<ol> <li>3.</li> <li>4.</li> </ol>	(a) (b) SEC USE ONLY	ace of Organization America	o o
Number Shares Benefic Owned Each Reporti Person	er of cially by ing With:	Sole Voting Power  2,033,720 shares of Common Stock (includes 1,473,0 issuable upon exercise of options exercisable within 6  Shared Voting Power  Sole Dispositive Power  2,033,720 shares of Common Stock (includes 1,473,0 issuable upon exercise of options exercisable within 6  Shared Dispositive Power  Shared Dispositive Power	50 days of December 31, 2014). 013 shares of Common Stock
9.	Aggregate Amount Beneficially Owned by Each Reporting Person 2,033,720 shares of Common Stock (includes 1,473,013 shares of Common Stock issuable upon exercise of options exercisable within 60 days of December 31, 2014.		
10.	Check if the Aggregate Amount in Row (9) Excludes Certain Shares (see instructions)		
11. 12.	Percent of Class Represented by Amount in Row 9 6.9%(1) Type of Reporting Person (see instructions) IN		
(1) This percentage is calculated based upon 27,981,387 shares of the Issuer's common stock outstanding as of December 31, 2014.			

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- Item 1(a). Name of Issuer: NewLink Genetics Corporation
- Item 1(b). Address of Issuer's Principal Executive Offices: 2503 South Loop Drive, Ames, Iowa 50010
- Item 2(a). Name of Person Filing: Charles J. Link, Jr. M.D.
- Item 2(b). Address of Principal Business Office or, if none, Residence: 2503 South Loop Drive, Ames, Iowa 50010
- Item 2(c). Citizenship: United States of America
- Item 2(d). Title of Class of Securities: Common Stock
- Item 2(e). CUSIP Number: 651511 107
- Item 3. If this statement is filed pursuant to §§240.13d-1(b), or 240.13d-2(b) or (c), check whether the person filing is a:
- (a) o Broker or dealer registered under Section 15 of the Act (15 U.S.C. 780);
- (b) o Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);
- (c) o Insurance company as defined in section 3(a)19) of the Act (15 U.S.C. 78c);
- o Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);
- (e) o An investment adviser in accordance with §240.13d-1(b)(1)(ii)(E);
- (f) o An employee benefit plan or endowment fund in accordance with §240.13d-1(b)(1)(ii)(F);
- (g) o A parent holding company or control person in accordance with §240.13d-1(b)(1)(ii)(G);
- (h) o A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C.
- (11) 1813);
- o A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
- (j) o A non-U.S. institution in accordance with §240.13d-1(b)(1)(ii)(J);
- (k) o Group, in accordance with §240.13d-1(b)(1)(ii)(K).

If filing as a non-U.S. institution in accordance with §240.13d-1(b)(1)(ii)(J), please specify the type of institution:

#### Item 4. Ownership

- (a) Amount Beneficially Owned: 2,033,720 shares of Common Stock (includes 1,473,013 shares of Common Stock issuable upon exercise of options exercisable within 60 days of December 31, 2014).
- (b) Percent of Class: 6.9%
- (c) Number of shares as to which the person has:
- (i) Sole power to vote or to direct the vote: 2,033,720 shares of Common Stock (includes 1,473,013 shares of Common Stock issuable upon exercise of options exercisable within 60 days of December 31, 2014).
- (ii) Shared power to vote or to direct the vote: 0
- Sole power to dispose or to direct the disposition of: 2,033,720 shares of Common Stock (includes 1,473,013 shares of Common Stock issuable upon exercise of options exercisable within 60 days of December 31, 2014).
- (iv) Shared power to dispose or to direct the disposition of: 0

#### Item 5. Ownership of 5 Percent or Less of a Class

Not Applicable.

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Item 6. Ownership of More than 5 Percent on Behalf of Another Person

Not Applicable.

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company or Control Person.

Not Applicable.

Item 8. Identification and Classification of Members of the Group

Not Applicable.

Item 9. Notice of Dissolution of a Group

Not Applicable.

Item 10. Certification

Not Applicable. This statement is being filed pursuant to 13d-1(d).

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**SIGNATURE** 

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

February 17, 2015

Date

/s/ Charles J. Link, Jr. M.D.

Signature

Charles J. Link, Jr. M.D.

Name/Title