

Advaxis, Inc.  
Form 8-K  
December 20, 2017

**UNITED STATES**

**SECURITIES AND EXCHANGE COMMISSION**

**WASHINGTON, D.C. 20549**

**FORM 8-K**

**CURRENT REPORT**

**Pursuant to Section 13 or 15(d) of the  
Securities Exchange Act of 1934**

Date of report (Date of earliest event reported): **December 20, 2017**

**ADVAXIS, INC.**

(Exact Name of Registrant as Specified in Charter)

**Delaware**

**001-36138 02-0563870**

(State or Other Jurisdiction  
of Incorporation)

(Commission (IRS Employer  
File Number) Identification No.)

**305 College Road East**

**Princeton, New Jersey, 08540**

(Address of Principal Executive Offices)

**(609) 452-9813**

(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

☐ Written communications pursuant to Rule 425 under the Securities Act.

☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act.

☐ Pre-commencement communications pursuant to Rule 14d-2b under the Exchange Act.

☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act.

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company ☐

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ☐

**Item 8.01 Other Events.**

On December 20, 2017, Advaxis, Inc. (the “Company”) issued a press release announcing results of operations for the fiscal year ended October 31, 2017. The Company also announced that on Thursday, December 21, 2017 at 10:00 a.m. EST, the Company will host an investor conference call during which the Company will provide a brief overview of its financial results and provide a business outlook for 2018. A copy of such press release is being furnished as Exhibit 99.1.

**Item 9.01. Financial Statements and Exhibits.**

(d) Exhibits.

The following exhibit is furnished as part of this report:

| <b>Exhibit Number</b> | <b>Description</b> |
|-----------------------|--------------------|
|-----------------------|--------------------|

|      |   |
|------|---|
| 99.1 | <u>Press release issued by Advaxis, Inc. dated December 20, 2017.</u> |
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**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**ADVAXIS, INC.**  
(Registrant)

Date: December 20, 2017

By: */s/ Sara Bonstein*  
Sara Bonstein  
Executive Vice President and Chief Financial Officer

