Accretive Health, Inc. Form 4 January 05, 2016

## FORM 4

Form 4 or

obligations

Form 5

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to **SECURITIES** Section 16.

Number: January 31, Expires: 2005

**OMB** 

**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(City)

(State)

(Zin)

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Rizk Emad T. Issuer Symbol Accretive Health, Inc. [ACHI] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) \_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify C/O ACCRETIVE HEALTH, 12/31/2015 below) INC., 401 N. MICHIGAN AVE, President and CEO **SUITE 2700** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting CHICAGO, IL 60611

| (City)     | (Buile)             | Tab.               | le I - Non- | Derivative Sec  | urities   | Acqui  | red, Disposed of,                       | or Beneficiall | y Owned      |
|------------|---------------------|--------------------|-------------|-----------------|-----------|--------|---|----------------|--------------|
| 1.Title of | 2. Transaction Date | 2A. Deemed         | 3.          | 4. Securities   | Acquir    | ed (A) | 5. Amount of                            | 6.             | 7. Nature of |
| Security   | (Month/Day/Year)    | Execution Date, if | Transacti   | omr Disposed o  | of (D)    |        | Securities                              | Ownership      | Indirect     |
| (Instr. 3) |                     | any                | Code        | (Instr. 3, 4 an | d 5)      |        | Beneficially                            | Form:          | Beneficial   |
|            |                     | (Month/Day/Year)   | (Instr. 8)  |                 |           |        | Owned                                   | Direct (D)     | Ownership    |
|            |                     |                    |             |                 |           |        | Following                               | or Indirect    | (Instr. 4)   |
|            |                     |                    |             |                 | (4)       |        | Reported                                | (I)            |              |
|            |                     |                    |             |                 | (A)       |        | Transaction(s)                          | (Instr. 4)     |              |
|            |                     |                    | Code V      | Amount          | or<br>(D) | Price  | (Instr. 3 and 4)                        |                |              |
| C          |                     |                    | Code v      |                 | (D)       | FIICE  |   |                |              |
| Common     | 12/31/2015          |                    | A           | 1,500,000       | Α         | \$0    | 2,578,067                               | D              |              |
| Stock      | 12,01,2010          |                    |             | <u>(1)</u>      |           | Ψ 0    | _,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | _              |              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Accretive Health, Inc. - Form 4

|                                      | 2.  | 3. Transaction Date |   | 4.                             | 5.   | 6. Date Exerc       |                    | 7. Titl                            |  | 8. Price of                          | 9. Nu   |
|--------------------------------------|---|---------------------|---|--------------------------------|--|---------------------|--------------------|------------------------------------|--|--------------------------------------|---|
| Derivative<br>Security<br>(Instr. 3) | Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | (Month/Day/Year)    | Execution Date, if any (Month/Day/Year) | Transact<br>Code<br>(Instr. 8) | orNumber<br>of<br>Derivativ<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | <b>:</b>            |                    | Amou<br>Under<br>Securi<br>(Instr. | lying                                  | Derivative<br>Security<br>(Instr. 5) | Deriv<br>Secur<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|                                      |   |                     |   | Code V                         | (A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title                              | Amount<br>or<br>Number<br>of<br>Shares |                                      |   |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |                   |       |  |  |  |
|--|---------------|-----------|-------------------|-------|--|--|--|
| . 9  | Director      | 10% Owner | Officer           | Other |  |  |  |
| Rizk Emad T.<br>C/O ACCRETIVE HEALTH, INC.<br>401 N. MICHIGAN AVE, SUITE 2700<br>CHICAGO, IL 60611 | X             |           | President and CEO |       |  |  |  |

# **Signatures**

/s/ Daniel A Zaccardo, Attorney-in-Fact 01/05/2016

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These shares of common stock were granted for retention purposes in light of the pending transaction announced on December 8, 2015 among Accretive Health, Inc. (the "Company") and TCP-ASC ACHI Series LLLP. These shares of common stock will vest in three equal annual installments on each of the first three anniversaries of the closing of such transaction, subject to the Executive's continued

(1) employment with the Company through the applicable vesting date, provided that the shares will vest in full upon a termination of the Executive's employment without "cause", due to the Executive's death or "disability" or if the Executive resigns for "good reason". In the event the pending transaction is terminated by the parties thereto prior to closing, these shares of common stock will be forfeited by the Executive

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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