## Edgar Filing: GIUNTA THOMAS R - Form 4

| GIUNTA TH<br>Form 4  | IOMAS R                                 |  |   |  |                     |  |        |  |  |  |          |  |
|--|---|--|---|--|---------------------|--|--------|--|--|--|----------|--|
| January 21, 2  | 2010                                    |  |   |  |                     |  |        |  |  |  |          |  |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549   |   |  |   |  |                     |  |        | OMB AF<br>OMB<br>Number:   | PROVAL<br>3235-0287  |  |          |  |
| Check thi<br>if no long<br>subject to<br>Section 1<br>Form 4 or<br>Form 5<br>obligation<br>may conti<br><i>See</i> Instru<br>1(b). | 6.<br>Filed pur<br>Section 17(a         | Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 |   |  |                     |  |        |  | Expires:<br>Estimated a<br>burden hou<br>response  | •  |          |  |
| (Print or Type R   | Responses)                              |  |   |  |                     |  |        |  |  |  |          |  |
| 1. Name and A<br>GIUNTA TI   | S<br>S                                  | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>SUPERCONDUCTOR<br>TECHNOLOGIES INC [SCON]   |   |  |                     |  |        | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)  |  |  |          |  |
| (Last) (First) (Middle)<br>460 WARD DRIVE  |   |  | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>01/20/2010 |  |                     |  |        |  | Director 10% Owner<br>X Officer (give title Other (specify<br>below) below)<br>VP Engineering                      |  |          |  |
|  | F                                       | 4. If Amendment, Date Original<br>Filed(Month/Day/Year)  |   |  |                     |  |        | <ul> <li>6. Individual or Joint/Group Filing(Check<br/>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul> |  |  |          |  |
| SANTA BA   | RBARA, CA 93                            | 11   |   |  |                     |  |        |  | Person   |  | porting  |  |
| (City)   | (State)                                 | (Zip)  | Table   | e I - Non-l                                    | Deri                | ivative S  | ecurit | ties Acq   | uired, Disposed of   | , or Beneficial  | ly Owned |  |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution I<br>any<br>(Month/Day   | Date, if  | 3.<br>Transact<br>Code<br>(Instr. 8)<br>Code V | ion( <i>A</i><br>(I | . Securiti<br>A) or Dis<br>Instr. 3, 4<br>Amount | posed  | of (D)   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |          |  |
| Common<br>Stock  | 01/20/2010                              | 01/20/201  | 10  | F <u>(1)</u>                                   |                     | 6,293  | D      | \$<br>3.15   | 65,456   | D  |          |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| Deri<br>Secu | itle of<br>ivative<br>urity<br>tr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5.<br>onNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | Expiration D<br>(Month/Day/<br>e | . Date Exercisable and<br>Expiration Date<br>Month/Day/Year) |       | le and<br>int of<br>rlying<br>ities<br>. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owna<br>Follo<br>Repo<br>Trans<br>(Instr |
|--------------|---------------------------------------|---|---|---|--|---|----------------------------------|--|-------|---|---|--|
|              |                                       |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable              | Expiration<br>Date   | Title | Amount<br>or<br>Number<br>of<br>Shares            |   |  |

## Edgar Filing: GIUNTA THOMAS R - Form 4

## **Reporting Owners**

| <b>Reporting Owner Name / Address</b>                        | Relationships |            |             |       |  |  |  |  |
|--|---------------|------------|-------------|-------|--|--|--|--|
|  | Director      | 10% Owner  | Officer     | Other |  |  |  |  |
| GIUNTA THOMAS R<br>460 WARD DRIVE<br>SANTA BARBARA, CA 93111 |               |            | VP Engineer | ring  |  |  |  |  |
| Signatures   |               |            |             |       |  |  |  |  |
| By: William J Buchanan For: The Giunta                       | omas R        | 01/20/2010 |             |       |  |  |  |  |
| **Signature of Reporting Person                              |               |            | Date        |       |  |  |  |  |
|  |               |            |             |       |  |  |  |  |

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Disposition to the company of vested Stock Award shares for payment of taxes due.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.