Henry Patrick C Form 4 May 22, 2013

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

**OMB APPROVAL** 

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

Common

Common

Common

Stock

Stock

Stock

05/20/2013

05/20/2013

1. Name and Address of Reporting Person * Henry Patrick C				2. Issuer Name and Ticker or Trading Symbol ENTROPIC COMMUNICATIONS INC [ENTR]			5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
	(Last)			(Month/Da	•	insaction		_X_ Director _X_ Officer (give below)		Owner er (specify
6290 SEQUENCE DRIVE				05/20/2013			CEO & President			
(Street)				4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check			
				Filed(Month/Day/Year)			Applicable Line) _X_ Form filed by One Reporting Person			
SAN DIEGO, CA 92121							Form filed by More than One Reporting Person			
	(City)	(State) (	Zip)	Table	I - Non-Do	erivative Se	ecurities Acqu	uired, Disposed of	, or Beneficial	ly Owned
	1.Title of	2. Transaction Date	2A. Deem	ned	3.	4. Securitie	es Acquired	5. Amount of	6. Ownership	7. Nature of
	Security	(Month/Day/Year)	Execution	Date, if	Transactio	n(A) or Disp	oosed of (D)	Securities	Form: Direct	Indirect
	(Instr. 3)		any		Code	(Instr. 3, 4	and 5)	Beneficially	(D) or	Beneficial
			(Month/D	ay/Year)	(Instr. 8)			Owned	Indirect (I)	Ownership
								Following	(Instr. 4)	(Instr. 4)
							(A)	Reported		
							or	Transaction(s)		
					Code V	Amount	(D) Price	(Instr. 3 and 4)		

Common Stock 150,674 I '09 GRAT WH (3)

Amount

16,000 A

6,012

(D)

D

Price

4.44

\$0

563,972

579,972 (1)

150,674

I

I

Ι

Code V

F

M

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

by Trust

by Trust

'09 GRAT

PH (2)

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. 3. Transaction Da Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 I S (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	<u>(4)</u>	05/20/2013		M	16,000	(5)	(5)	Common Stock	16,000	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Henry Patrick C 6290 SEQUENCE DRIVE SAN DIEGO, CA 92121	X		CEO & President				

# **Signatures**

By: Lance W.Bridges, as attorney-in-fact For: Patrick C. Henry

05/22/2013

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting persons end of period holdings include 2,500 shares purchased on 4/30/13, under the Company's Employee Stock Purchase Plan and are owned directly by the reporting person.
- (2) The shares are held by the Patrick C. Henry and Wendy A. Henry, Trustees of the Patrick C. Henry 2009 Annuity Trust, dated March 26, 2009, of which the Reporting Person holds a pecuniary interest in the annuity provided for in the trust agreement.
- (3) The shares are held by the Patrick C. Henry and Wendy A. Henry, Trustees of the Wendy A. Henry 2009 Annuity Trust, dated March 26, 2009, of which the Reporting Person holds a pecuniary interest in the annuity provided for in the trust agreement.
- (4) Each restricted stock unit represents a contingent right to receive one share of the Issuer's Common Stock.
- The shares are vesting over a four year period, with 25% vesting on the first quarterly vesting date following the 1st, 2nd, 3rd and 4th (5) anniversary of the grant date. Additionally, grant is subject to accelerated vesting on the terms and conditions set forth in such individual's Change of Control Agreement.

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.