Carcara Jeff Frank Form 3 July 31, 2018

## FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0104 January 31,

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Expires: 2005

**OMB APPROVAL** 

Estimated average burden hours per response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

(Print or Type Responses)

1. Name and Address of Reporting Person \*

A Carcara Jeff Frank

(Last)

(First) (Middle)

Statement

(Month/Day/Year)

07/31/2018

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Del Frisco's Restaurant Group, Inc. [DFRG]

4. Relationship of Reporting Person(s) to Issuer

Director

\_X\_\_ Officer

5. If Amendment, Date Original

Filed(Month/Day/Year)

**2900 RANCH** 

(Street)

(Check all applicable)

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

(give title below) (specify below) CEO, Emerging Brands

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

IRVING, TXÂ 75063

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership

Form: Direct (D)

Table I - Non-Derivative Securities Beneficially Owned

Ownership

(Instr. 5)

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** 

(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

Conversion or Exercise Price of Derivative

Security

4.

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Expiration Date Exercisable Date

Title

Amount or Number of Shares

Direct (D) or Indirect (I) (Instr. 5)

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Restricted Stock Unit  $\hat{A} \stackrel{(1)}{=} \hat{A} \stackrel{(1)}{=} Stock = 6,705 \qquad \$ \stackrel{(2)}{=} D \qquad \hat{A}$ 

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Carcara Jeff Frank

2900 RANCH  $\hat{A}$   $\hat{A}$   $\hat{A}$  CEO, Emerging Brands  $\hat{A}$ 

IRVING, TXÂ 75063

**Signatures** 

/s/ Ivan Tomaily, under Power of Attorney for Jeff
Carcara 07/31/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) One-third of these restricted stock units are scheduled to vest on each of January 5, 2019; January 5, 2020; and January 5, 2021.
- (2) Each restricted stock unit represents the right to convert to one share of common stock at vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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