

ROHDE BRUCE  
Form 4  
February 05, 2010

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
ROHDE BRUCE

2. Issuer Name and Ticker or Trading Symbol  
BROADPOINT GLEACHER SECURITIES GROUP, INC. [BPSG]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
02/03/2010

BROADPOINT GLEACHER SECURITIES GROUP INC, 12 EAST 49TH STREET, 31ST FLOOR

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

NEW YORK, NY 10017

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	02/03/2010		P	1,000 A \$ 4.1	1,000	D	
Common Stock	02/03/2010		P	4,794 A \$ 4.14	5,794	D	
Common Stock	02/03/2010		P	5,611 A \$ 4.15	11,405	D	
Common	02/03/2010		P	7,500 A \$ 4.16	18,905	D	

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Stock							
Common Stock	02/03/2010	P	3,000	A	\$ 4.169	21,905	D
Common Stock	02/03/2010	P	17,595	A	\$ 4.17	39,500	D
Common Stock	02/03/2010	P	6,200	A	\$ 4.179	45,700	D
Common Stock	02/03/2010	P	24,300	A	\$ 4.18	70,000	D
Common Stock	02/03/2010	P	5,000	A	\$ 4.189	75,000	D
Common Stock	02/03/2010	P	9,000	A	\$ 4.19	84,000	D
Common Stock	02/03/2010	P	2,000	A	\$ 4.199	86,000	D
Common Stock	02/03/2010	P	14,000	A	\$ 4.2	100,000	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address

Relationships

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Director   10% Owner   Officer   Other

ROHDE BRUCE  
BROADPOINT GLEACHER SECURITIES GROUP INC  
12 EAST 49TH STREET, 31ST FLOOR  
NEW YORK, NY 10017

X

## Signatures

/s/ Patricia A. Arciero-Craig, Attorney-in-fact, for Bruce  
Rohde

02/05/2010

        Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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