Edgar Filing: Allison Transmission Holdings Inc - Form 4

Allison Transmission Holdings Inc Form 4 June 07, 2016

June 07, 201	10									
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								APPROVAL		
Check th			Number:	3235-0287 January 31,						
if no lon subject t Section Form 4 c	ger o STATEN 16.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Form 5 obligations may continue. See Instruction Form 5 obligations May continue. See Instruction Form 5 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Section 1940										
(Print or Type	Responses)									
1. Name and A EVERITT	Symbol	ı Transmi	d Ticker or Trading ssion Holdings In	Issuer	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) ONE ALLI	(First) (SON WAY	Middle)	3. Date of Earliest Transaction (Month/Day/Year) 06/03/2016			X Director Officer (give below)	Officer (give titleOther (specify			
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)			Applicable Line) _X_ Form filed by (6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
INDIANAI	POLIS, IN 46222					Person	fore than one is	eporting		
(City)	(State)	tate) (Zip) Table I - Non-Derivative Securities Acquired, Disposed o						f, or Beneficially Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transactic Code (Instr. 8)	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	Securities H Beneficially (Owned (5. Ownership Form: Direct D) or Indirect I) Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Transaction(s)

(Instr. 3 and 4)

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and Amount of	8. Price
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration Date	Underlying Securities	Derivati
Security	or Exercise		any	Code	of	(Month/Day/Year)	(Instr. 3 and 4)	Security

(A)

or

Code V Amount (D) Price

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8		Derivativ Securitie Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	s I I				(Instr. 5
				Code	V	(A) (D)) Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Dividend Equivalent Rights	<u>(1)</u>	06/03/2016		А		46	<u>(1)</u>	<u>(1)</u>	Common Stock	46	\$ 0

Reporting Owners

Reporting Owner Name / Address		Relationsh						
	Director	10% Owner	Officer	Other				
EVERITT DAVID C ONE ALLISON WAY INDIANAPOLIS, IN 46222	Х							
Signatures								
/s/ Eric C. Scroggins, attorney-in-fact		06/07/2016	5					
<u>**</u> Signature of Reporting Person		Date						
Explanation of Responses:								

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- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The dividend equivalent rights accrued on previously awarded deferred stock units ("DSUs") and vest proportionately with the DSUs to (1) which they relate. Each dividend equivalent right is the economic equivalent of one share of Allison Transmission Holdings, Inc. common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.